

# Basic Homological Algebra

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# 1 Categories

**定义 1.1.** 一个 category  $\mathbf{C}$  包含如下几个部分:

- a class of objects  $\text{obj } \mathbf{C}$
- sets of morphisms. 其中 morphisms 由以下方式给出: 存在一个定义在  $A, B \in \mathbf{C}$  的函数  $\text{Mor}$ ,  $\text{Mor}_{\mathbf{C}}(A, B)$  被称作 the set of morphisms from  $A$  to  $B$ .
- composition:

$$\text{Mor}(B, C) \times \text{Mor}(A, B) \rightarrow \text{Mor}(A, C)$$

$$(g, f) \mapsto gf$$

- 一套关于  $\text{Mor}$  的公理系统:

1. 每个  $\text{Mor}(A, A)$  都包含一个元素  $i_A$
2. composition is associative  $((fg)h = f(gh))$
3.  $\forall f \in \text{Mor}(A, B), f = fi_A = i_Bf$
4.  $\text{Mor}(A, B)$  与  $\text{Mor}(C, D)$  相交  $\iff A = C, B = D$

**注 1.2.** 如果  $\mathbf{C}$  在形式上不满足 4., 可以视为用三元组  $(A, f, B)$  代替  $f \in \text{Mor}(A, B)$ 。

**例 1.** 我们可以对集合, 群, 拓扑空间建立范畴, 此时态射分别为集合间的映射, 群的同态和拓扑空间的连续映射, 态射的复合即为相应映射间的复合。

**例 2.** 给定  $\mathbf{C}$ , the opposite category  $\mathbf{C}^{op}$  定义如下:  $\text{obj } \mathbf{C} = \text{obj } \mathbf{C}^{op}$ ,  $\text{Mor}_{\mathbf{C}^{op}}(A, B) = \text{Mor}_{\mathbf{C}}(B, A)$ , composition is reversed

**注 1.3.** 可以看出,  $\text{Mor}(A, A)$  是一个么半群。

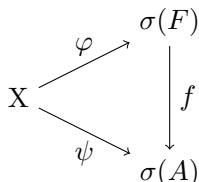
**定义 1.4.**  $f \in \text{Mor}(A, B)$  is an isomorphism  $\iff \exists g \in \text{Mor}(B, A)$  such that  $fg = i_B, gf = i_A$ .

**注 1.5.** 不难证明,  $g$  是唯一的。

**定义 1.6.**  $\mathbf{C}$  被称为 concrete category, 如果存在定义在  $\text{obj } \mathbf{C}$  上的映射  $\sigma$  使得:

1. 如果  $A \in \text{obj } \mathbf{C}$ , 那么  $\sigma(A)$  是一个集合
2. 任何一个  $f \in \text{Mor}(A, B)$ , 都导出了一个 function  $f$  from  $\sigma(A)$  to  $\sigma(B)$
3. composition 对应 function composition
4.  $i_A$  导出了  $\sigma(A)$  的恒同映射

**定义 1.7.** If  $\mathbf{C}$  is a concrete category,  $F \in \text{obj } \mathbf{C}$ ,  $F$  is called free on a set  $X$  with a injective function  $\varphi : X \rightarrow \sigma(F) \iff \forall A \in \text{obj } \mathbf{C}$  and  $\forall$  set map  $\psi : X \rightarrow \sigma(A)$ , there exists a unique morphism  $f \in \text{Mor}(F, A)$  such that  $f \circ \varphi = \psi$ .



**命题 1.8.** If  $F, F'$  are free on  $X$  with  $\varphi : X \rightarrow \sigma(F)$ ,  $\varphi' : X \rightarrow \sigma(F')$ , then  $F$  and  $F'$  are isomorphic.

证明. 由 free 的定义, 存在  $f \in \text{Mor}(F, F')$ ,  $g \in \text{Mor}(F', F)$  使得  $\varphi' = f \circ \varphi$ ,  $\varphi = g \circ \varphi'$ , 则  $\varphi = gf\varphi$ , 此即有

$$\begin{array}{ccc} & & \sigma(F) \\ & \nearrow \varphi & \downarrow gf \\ X & & \\ & \searrow \varphi & \downarrow \\ & & \sigma(F) \end{array}$$

而由于  $gf$  的位置的函数是唯一的, 且  $\varphi = i_F \circ \varphi$ , 从而  $i_F = gf$ , 同理  $fg = i_{F'}$ .  $\square$

**定义 1.9.** 设  $\{A_i, i \in I\}$  是一族  $\text{obj } \mathbf{C}$  中的对象, 定义 the product of  $A_i$ , written

$$A = \prod_{i \in I} A_i$$

如下:  $A$  is an object, together with morphisms  $\pi_i \in \text{Mor}(A, A_i) \forall i \in I$ , satisfying  $\forall B \in \text{obj } \mathbf{C}, \forall \psi_i \in \text{Mor}(B, A_i)$ , there is a unique  $\theta \in \text{Mor}(B, A)$  such that  $\theta \circ \pi_i = \psi_i$ .

$$\begin{array}{ccc} & & B \\ & \swarrow \psi_i & \vdots \theta \\ A_i & & \\ & \searrow \pi_i & \downarrow \\ & & A \end{array}$$

**注 1.10.** 用虚线表明待定的状态。

**定义 1.11.** The coproduct of  $A_i$  is an  $A$ , together with  $\pi_i \in \text{Mor}(A_i, A)$ , and the diagram is commutative with unique  $\theta \in \text{Hom}(A, B)$ :

$$\begin{array}{ccc} & & B \\ & \swarrow \psi_i & \vdots \theta \\ A_i & & \\ & \searrow \pi_i & \downarrow \\ & & A \end{array}$$

**例 3.** 集合范畴的积和余积分别为集合的笛卡尔积和无交并。

**定义 1.12.** 群范畴下积为群的直积, 余积为群的自由积。

**例 4.** Abel 群范畴的积是直积, 余积是直和 (类似于无交并生成的最小 Abel 群)。

**定义 1.13.** A (covariant) functor  $F$  from  $\mathbf{C}$  to  $\mathbf{D}$  是一个从  $\text{obj } \mathbf{C}$  到  $\text{obj } \mathbf{D}$  的函数, 同时导出一个  $\text{Mor}_{\mathbf{C}}(A, B) \rightarrow \text{Mor}_{\mathbf{D}}(F(A), F(B))$ , 且满足

1.  $F(i_A) = i_{F(A)}$
2.  $F(\psi\varphi) = F(\psi)F(\varphi)$

**定义 1.14.** A contravariant functor from  $\mathbf{C}$  to  $\mathbf{D}$  is literally a covariant functor from  $\mathbf{C}$  to  $\mathbf{D}^{op}$ .

**例 5.**  $\mathbf{C}$  是环的范畴,  $\mathbf{D}$  是 Abel 群的范畴, 那么可以定义  $F$  为元素上的恒同映射。这个函子“遗忘”了  $\mathbf{C}$  的一些性质, 类似的函子称为 forgetful functor.

**定义 1.15.** A category  $\mathbf{C}$  is called a small category if and only if  $\text{obj } \mathbf{C}$  is actually a set.

**定义 1.16.**  $\mathbf{C}$  is a subcategory of  $\mathbf{D}$  if  $\text{obj } \mathbf{C} \subset \text{obj } \mathbf{D}$  and  $\forall A, B \in \text{obj } \mathbf{C} \text{ Mor}_{\mathbf{C}}(A, B) \subset \text{Mor}_{\mathbf{D}}(A, B)$ . If the last set containment is always an equality, then  $\mathbf{C}$  is called a full subcategory of  $\mathbf{D}$ .

## 1.1 Appendix

**定义 1.17.** A concrete category  $\mathbf{C}$  is called uniform, if for all  $A \in \text{obj } \mathbf{C}$ , and bijective function  $\varphi: \sigma(A) \rightarrow S$ , there exists  $B \in \text{obj } \mathbf{C}$  such that  $\sigma(B) = S$ , and  $\varphi$  is an isomorphism of  $A$  with  $B$ .

**定理 1.18** (Pullback Theorem). Suppose  $\mathbf{C}$  is a concrete, uniform category. suppose  $A, B \in \text{obj } \mathbf{C}$ , and  $f \in \text{Mor}(A, B)$ . Suppose that, as a map from  $\sigma(A)$  to  $\sigma(B)$ ,  $f$  is injective. Then there exists  $C \in \text{obj } \mathbf{C}$ , as well as  $g \in \text{Mor}(A, C)$ ,  $h \in \text{Mor}(C, B)$ , such that  $f = h \circ g$ , and

- (i)  $h$  is an isomorphism of  $C$  with  $B$
- (ii)  $\sigma(A) \subset \sigma(C)$ , and  $g(x) = x, \forall x \in \sigma(A)$

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \searrow g \quad \nearrow h & \\ & C & \end{array}$$

## 2 Modules

### 2.1 Generalities

**定义 2.1.** 左  $R$  模的范畴记为  ${}_R M$ , 右  $R$  模的范畴记为  $M_R$ ,  $R-S$  双模的范畴记为  ${}_R M_S$ .

**命题 2.2.** 给定  $A \in {}_R M$ ,  $\text{Hom}(A, \cdot)$  是一个从  ${}_R M$  到  ${}_S M$  的协变函子,  $\text{Hom}(\cdot, A)$  是一个  ${}_R M$  到  $M_S$  的反变函子。

**注 2.3.**  $M_S$  可以看做  ${}_Z M_S$ ,  ${}_S M$  可以看做  ${}_S M_Z$ 。

**命题 2.4.** 在 Abel 群范畴 (左  $\mathbb{Z}$  模) 下,  $A \in M_S \cong {}_Z M_S$ ,  $G$  是 Abel 群, 那么  $\text{Hom}(A, G)$  可以看做  ${}_S M$  的元素。

### 2.2 Tensor Products

**定义 2.5.**  $A \in M_R, B \in {}_R M$ , A bilinear map from  $A \times B$  to an abelian group  $G$  is a map  $\varphi: A \times B \rightarrow G$ , satisfying  $\forall a, a' \in A, b, b' \in B, r \in R$ :

- $\varphi(a, b + b') = \varphi(a, b) + \varphi(a, b')$
- $\varphi(a + a', b) = \varphi(a, b) + \varphi(a', b)$

$$\bullet \varphi(ar, b) = \varphi(a, rb)$$

**定义 2.6.** 一个序对  $(G, \varphi)$  称为 a tensor product of  $A, B$ , 如果对任意 Abel 群  $H$ , 对任意双线性映射  $\psi: A \times B \rightarrow H$ , 存在唯一一个  $\theta \in \text{Hom}(G, H)$ , 使得

$$\begin{array}{ccc} & & G \\ & \nearrow \varphi & \downarrow \theta \\ A \times B & & H \\ & \searrow \psi & \end{array}$$

**命题 2.7.** 假定  $B \in {}_R M$ , 则

$$1. R \otimes B \cong B$$

$$2. \text{ 如果 } I \text{ 是一个理想, } IB \text{ 是 } B \text{ 的子群, 那么 } (R/I) \otimes B \cong B/IB$$

证明. 1. 令  $\varphi(r, b) = rb$ , 这是一个双线性函数, 对任意  $\psi: R \times B \rightarrow G$ , 令  $\theta = \psi(1, \cdot)$ , 那么  $\theta \circ \varphi = \psi$ , 即  $(G, \varphi)$  是 tensor product.

**注 2.8.** 显然这里可以给出另一种证法:  $\sum a_i \otimes b_i \mapsto \sum a_i b_i = 0 \Rightarrow \sum a_i \otimes b_i = \sum 1 \otimes a_i b_i = 1 \otimes \sum a_i b_i = 0$ , 从而这是单射, 而满射是显然的。

这个结论对  $R$  的理想  $I$  却不成立, 即不一定有  $I \otimes B \cong B$  成立, 这是因为  $1 \notin I$ , 从而导致证明中无法把  $a_i$  移动成  $b_i$  的系数。

2. 建立  $f: (R/I) \otimes B \rightarrow B/IB: f(\bar{a}, b) = \overline{ab}$ , 可以看出这是良定义的满同态 (不依赖  $\bar{a}$  代表元的选取)。若  $f = 0$ , 则  $ab \in IB$ , 即  $a \in I$ , 这表明  $\bar{a} \otimes b = 0$ , 故  $\text{Ker} f = 0$ , 即  $f$  为单同态, 从而  $f$  为同构。

当然我们期望给出一个由双线性函数得到的证明:

2. 定义

$$\begin{aligned} \varphi' : (R/I) \times B &\rightarrow B/IB \\ (r + I, b) &\mapsto rb + IB \end{aligned}$$

易证  $\varphi'$  是良定义的。

由 1. 对映射  $\pi \times i_B: R \times B \rightarrow (R/I) \times B$  和任意双线性函数  $\psi: (R/I) \times B \rightarrow G$  的复合, 存在唯一  $\theta$  使得  $\theta \circ \varphi = \psi \circ (\pi \times i_B)$ 。

$$\begin{array}{ccc} R \times B & \xrightarrow{\pi \times i_B} & (R/I) \times B \\ \downarrow \varphi & & \downarrow \varphi' \\ B & \xrightarrow{\pi'} & B/IB \end{array} \quad \begin{array}{c} \nearrow \psi \\ \searrow \theta \end{array}$$

对任意  $b \in B, r \in I$ , 有  $(\psi \circ (\pi \times i_B))(r, b) = \psi(0, b) = 0 = (\theta \circ \varphi)(r, b)$ , 由 1. 中  $\varphi$  的定义, 有  $(\theta \circ \varphi)(r, b) = \theta(rb)$ , 从而  $rb \in \text{Ker} \theta$ , 即  $I \subset \text{Ker} \theta$ , 这表明  $\theta$  诱导了  $\theta' : B/IB \rightarrow G$ , 这个映射是唯一的。

$$\begin{array}{ccc}
 R \times B & \xrightarrow{\pi \times i_B} & (R/I) \times B \\
 \downarrow \varphi & & \downarrow \varphi' \\
 B & \xrightarrow{\pi'} & B/IB \\
 & \searrow \theta & \nearrow \theta' \\
 & G &
 \end{array}$$

□

**注 2.9.** 可以看出 1. 的结论可以推到  $R$  的含么的子环, 但是对  $R$  的理想  $I$  是不成立的, 即不一定有  $I \otimes B \cong B$ 。如  $R = \mathbb{Z}_4$ , 取它的理想  $I = \{0, 2\}$ , 取  $B = \{0, 1\} = \mathbb{Z}_2$  (作为理想关于环的运算显然构成模), 此时  $I \otimes B = I$ , 但  $IB$  作为  $B$  的子群是  $\{0\}$ 。

**命题 2.10.** 如果  $A \in M_R$ , 则  $A \otimes_R$  是一个从  ${}_R M$  到 Abel 群的协变函子; 如果  $B \in {}_R M$ , 那么  $\otimes_R B$  是一个从  $M_R$  到 Abel 群的协变函子。

**命题 2.11.** 假定  $A \in M_R$ ,  $B_i \in {}_R M$ ,  $i \in I$ , 则  $A \otimes (\oplus B_i) \cong \oplus (A \otimes B_i)$ , 且  $a \otimes (\oplus b_i) \mapsto \oplus (a \otimes b_i)$ 。

证明. 即证明  $A \otimes B_i$  在 Abel 群范畴下的余积为  $A \otimes (\oplus B_i)$ 。令  $B = \oplus B_i$ , 令  $\varphi_i \in \text{Hom}(B_i, B)$  是诱导映射, 这个映射诱导出了  $\varphi_{i*} : A \otimes B_i \rightarrow A \otimes B$ 。

接下来只需证, 对任意一组  $\psi_i : A \otimes B_i \rightarrow G$ , 存在一个  $\theta$ , 使得下列图表交换:

$$\begin{array}{ccc}
 & & A \otimes B \\
 & \nearrow \varphi_{i*} & \downarrow \theta \\
 A \otimes B_i & & \\
 & \searrow \psi_i & \downarrow \\
 & & G
 \end{array}$$

令  $\eta : A \times B \rightarrow A \otimes B$  是双线性映射, 同理有  $\eta_i : A \times B_i \rightarrow A \otimes B_i$ 。则  $\psi_i \circ \eta_i$  是  $A \times B_i \rightarrow G$  的双线性映射 (群同态和双线性映射的复合是双线性的)。 $\sum \psi_i \circ \eta_i$  是良定义的 (这是因为直和运算中不为 0 的分量只有有限个), 这是一个  $A \times B \rightarrow G$  的双线性映射。

$$\begin{array}{ccccc}
 & & A \times B_i & & \\
 & \searrow \eta_i & & \nearrow i_A \times \varphi_i & \\
 A \otimes B_i & \xrightarrow{\varphi_{i*}} & A \otimes B & \xleftarrow{\eta} & A \times B \\
 & \searrow \psi_i & & \nearrow \sum \psi_i \circ \eta_i & \\
 & & G & &
 \end{array}$$

由张量积的性质, 此时存在唯一一个  $\theta$  使得下图表交换:

$$\begin{array}{ccc}
 A \otimes B & \xleftarrow{\eta} & A \times B \\
 \downarrow \theta & \nearrow \sum \psi_i \circ \eta_i & \\
 G & & 
 \end{array}$$

此时  $\theta \circ \eta \circ (i_A \times \varphi_i)(a, b_i) = \theta \circ \eta(a, b_i) = \sum (\psi_i \circ \eta_i)(a, b) = \sum \psi_i \circ \eta_j(a, b_j) = \psi_i \circ \eta_i(a, b_i)$ , 从而  $\psi_i \circ \eta_i = \theta \circ \eta \circ (i_A \times \varphi_i) = \theta \circ \varphi_{i*} \circ \eta_i$ , 由于  $\eta_i$  是满射, 这给出下图表交换:

$$\begin{array}{ccc}
 A \otimes B_i & \xrightarrow{\varphi_{i*}} & A \otimes B \\
 \searrow \psi_i & & \downarrow \theta \\
 & & G
 \end{array}$$

从而  $\theta$  满足条件。

如果  $\theta$  不唯一, 这给出  $\theta' \circ \varphi_{i*} = \psi_i$ , 从而  $\theta' \circ (\eta \circ (i_A \times \varphi_i)) = \theta' \circ (\varphi_{i*} \circ \eta_i) = (\theta' \circ \varphi_{i*}) \circ \eta_i = \psi_i \circ \eta_i = \theta \circ \eta \circ (i_A \times \varphi_i)$

对每个  $(a, b) \in A \times B$ ,  $A \times B$  的自恒同映射由  $\sum i_A \times \varphi_i$  给出。从而  $\theta' \circ \eta = \theta' \circ \eta \circ (\sum i_A \times \varphi_i) = \sum \theta' \circ \eta \circ i_A \times \varphi_i = \sum \theta \circ \eta \circ (i_A \times \varphi_i) = \theta \circ \eta$ , 这表明  $\theta'$  也使得下图表交换

$$\begin{array}{ccc}
 A \otimes B & \xleftarrow{\eta} & A \times B \\
 \downarrow \theta' & \nearrow \sum \psi_i \circ \eta_i & \\
 G & & 
 \end{array}$$

这与该图表中  $\theta$  的唯一性相矛盾。 □

**定理 2.12.** 假设  $A \in M_R$ ,  $B \in {}_R M$ ,  $G$  是 Abel 群, 则

$$\text{Hom}_R(B, \text{Hom}_{\mathbb{Z}}(A, G)) \cong \text{Hom}_{\mathbb{Z}}(A \otimes B, G)$$

证明. 记  $\text{Bil}(A, B; G)$  是  $A \times B \rightarrow G$  的所有双线性函数构成的群。根据定义,  $\text{Hom}_{\mathbb{Z}}(A \otimes B, G) \cong \text{Bil}(A, B; G)$ 。

而  $\text{Bil}(A, B; G) \cong \text{Hom}_R(B, \text{Hom}_{\mathbb{Z}}(A, G))$  可以由以下对应得到:

$$\{\text{maps} : A \times B \rightarrow G\} \longleftrightarrow \{\text{maps} : B \rightarrow (\text{maps} : A \rightarrow G)\}$$

$$f \leftrightarrow g$$

$$f(a, b) = [g(b)]a$$

可以看出前者中的双线性映射对应后者的  $R$  左模同态。 □

Rotman 给出这个命题的推广版本:



**定理 2.13** (Adjoint Isomorphism, First Version). Given modules  $A \in M_R$ ,  $B \in {}_R M_S$ ,  $C \in M_S$ , there is a natural isomorphism:

$$\text{Hom}_S(A \otimes_R B, C) \cong \text{Hom}_R(A, \text{Hom}_S(B, C))$$

**定理 2.14** (Adjoint Isomorphism, Second Version). Given modules  $A \in {}_R M$ ,  $B \in {}_S M_R$ ,  $C \in {}_S M$ , there is a natural isomorphism:

$$\text{Hom}_S(B \otimes_R A, C) \cong \text{Hom}_R(A, \text{Hom}_S(B, C))$$

## 2.3 Exactness of Functors

**定义 2.15.** The arrows

$$A \xrightarrow{\varphi} B \xrightarrow{\psi} C$$

will be called exact if  $\text{Ker}\psi = \text{Im}\varphi$ .

**定义 2.16.** The short exact sequences is like

$$0 \rightarrow A \xrightarrow{\varphi} B \xrightarrow{\pi} C \rightarrow 0$$

**定义 2.17.** We say that a short exact sequences splits if  $B$  is the biproduct of  $A$  and  $C$ .

**注 2.18.** 设  $X_1, X_2 \in \text{obj } \mathcal{C}$ , the biproduct of  $X_1$  and  $X_2$  is  $X \in \text{obj } \mathcal{C}$ , satisfying

$$X_1 \xrightleftharpoons[l_1]{p_1} X \xrightleftharpoons[l_2]{p_2} X_2$$

$$p_1 \circ l_2 = i_{X_1}, \quad p_2 \circ l_1 = i_{X_2}, \quad l_1 \circ p_1 + l_2 \circ p_2 = i_X.$$

**定理 2.19** (5-Lemma). 假定交换图表

$$\begin{array}{ccccccccc} A_1 & \xrightarrow{f_1} & A_2 & \xrightarrow{f_2} & A_3 & \xrightarrow{f_3} & A_4 & \xrightarrow{f_4} & A_5 \\ \downarrow \varphi_1 & & \downarrow \varphi_2 & & \downarrow \varphi_3 & & \downarrow \varphi_4 & & \downarrow \varphi_5 \\ B_1 & \xrightarrow{g_1} & B_2 & \xrightarrow{g_2} & B_3 & \xrightarrow{g_3} & B_4 & \xrightarrow{g_4} & B_5 \end{array}$$

上下分别正合, 且

1.  $\varphi_2$  和  $\varphi_4$  是同构
2.  $\varphi_1$  是满射
3.  $\varphi_5$  是单射

那么  $\varphi_3$  是同构。

**证明.** 如果  $\varphi_3(a) = 0$ , 那么  $\varphi_4 \circ f_3(a) = g_1 \circ \varphi_3(a) = 0$ . 由于  $\varphi_4$  是同构, 从而  $f_3(a) = 0$ , 即  $a \in \text{Ker}(f_3) = \text{Im}(f_2)$ , 从而存在  $a' \in A_2$ ,  $f_2(a') = a$ . 故  $g_2 \circ \varphi_2(a') = \varphi_3 \circ f_2(a') = \varphi_3(a) = 0$ , 从而  $\varphi_2(a') \in \text{Ker}(g_2) = \text{Im}(g_1)$ , 即存在  $b \in B_1$  使得  $g_1(b) = \varphi_2(a')$ . 由于  $\varphi_1$  是满射, 从而存在  $a''$  使得

$\varphi_1(a'') = b$ , 从而  $\varphi_2 \circ f_1(a'') = g_1 \circ \varphi_1(a'') = g_1(b) = \varphi_2(a')$ 。由于  $\varphi_2$  是同构, 从而  $a' = f_1(a'')$ 。此时  $a = f_2(a') = f_2 \circ f_1(a'') = 0$ 。即  $\varphi_3$  是单射。

如果  $b \in B_3$ , 则  $g_4 \circ g_3(b) = 0$ 。设  $g_3(b) = \varphi_4 \circ (a)$ , 那么  $0 = g_4 \circ g_3(b) = g_4 \circ \varphi_4(a) = \varphi_5 \circ f_4(a)$ 。由于  $\varphi_5$  是单射, 从而  $f_4(a) = 0$ , 即  $a \in \text{Ker}(f_4) = \text{Im}(f_3)$ , 即存在  $a'$  使得  $f_3(a') = a$ , 从而  $g_3 \circ \varphi_3(a') = \varphi_4 \circ f_3(a') = \varphi_4(a) = g_3(b)$ , 这表明  $b - \varphi_3(a') \in \text{Ker}(g_3) = \text{Im}(g_2)$ , 即存在  $b' \in B_2$  使得  $g_2(b') = b - \varphi_3(a')$ 。设  $b' = \varphi_2(a'')$ , 则  $\varphi_3 \circ f_2(a'') = g_2 \circ \varphi_2(a'') = g_2(b') = b - \varphi_3(a')$ 。从而  $b = \varphi_3(a' + f_2(a'')) \in \text{Im}(\varphi_3)$ 。即  $\varphi_3$  是满射。□

**定义 2.20.** 定义  $F$  是一个从  ${}_R M$  到 Abel 群范畴的协变函子。称  $F$  是正合函子, 如果对任何  ${}_R M$  中的短正合序列  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  都有  $0 \rightarrow F(A) \rightarrow F(B) \rightarrow F(C) \rightarrow 0$  正合。

如果仅有  $0 \rightarrow F(A) \rightarrow F(B) \rightarrow F(C)$ , 则称为左正合; 如果仅有  $F(A) \rightarrow F(B) \rightarrow F(C) \rightarrow 0$ , 则称为右正合。如果仅有  $F(A) \rightarrow F(B) \rightarrow F(C)$ , 那么称为半正合。

**定义 2.21.** 对反变函子, 也可以类似定义: 定义  $F$  是一个从  ${}_R M$  到 Abel 群范畴的反变函子。称  $F$  是正合函子, 如果对任何  ${}_R M$  中的短正合序列  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  都有  $0 \rightarrow F(C) \rightarrow F(B) \rightarrow F(A) \rightarrow 0$  正合。其余定义可以类似类比。

**命题 2.22.**

1. 如果  $A \in {}_R M$ , 那么  $\text{Hom}(A, \cdot)$  和  $\text{Hom}(\cdot, A)$  是左正合的。
2. 如果  $A \in M_R$ , 那么  $A \otimes$  是右正合的。

证明. 1. 设

$$0 \rightarrow B \xrightarrow{\varphi} B' \xrightarrow{\psi} B'' \rightarrow 0$$

是正合序列, 只需证明

$$0 \rightarrow \text{Hom}(A, B) \xrightarrow{\varphi^*} \text{Hom}(A, B') \xrightarrow{\psi^*} \text{Hom}(A, B'') \rightarrow 0$$

是正合序列。

如果  $f \in \text{Ker}(\varphi^*)$ , 那么  $\forall a \in A, \varphi(f(a)) = \varphi^*(f)(a) = 0$ , 即  $f(a) \in \text{Ker}(\varphi)$ , 即  $f(a) = 0, \forall a \in A$ , 即  $f = 0$ 。从而  $\varphi^*$  是单射, 即  $0 \rightarrow \text{Hom}(A, B) \rightarrow \text{Hom}(A, B')$  是正合的。

对任意  $f \in \text{Hom}(A, B)$ , 有  $\psi^*(\varphi^*(f))(a) = \psi(\varphi^*(f)(a)) = \psi(\varphi(f(a))) = 0, \forall a \in A$ , 从而  $\text{Im}(\varphi^*) \subset \text{Ker}(\psi^*)$ 。而对任意  $g \in \text{Ker}(\psi^*)$ , 对任意  $a, \psi(g(a)) = 0$ , 从而  $\text{Im}(g) \subset \text{Ker}(\psi) = \text{Im}\varphi$ 。由于  $\varphi$  是单射, 从而存在定义在  $\text{Im}(\varphi)$  上的逆同态  $\varphi^{-1}$ , 此时令  $f = \varphi^{-1} \circ g : A \rightarrow B$ , 有  $\varphi^*(f)(a) = \varphi(f(a)) = g(a)$ , 即  $g \in \text{Im}(\varphi^*)$ , 从而  $\text{Im}(\varphi^*) = \text{Ker}(\psi^*)$ 。

$\text{Hom}(\cdot, A)$  是同理的 (注意它是反变函子)。

**注 2.23.** 可以看到, 证明中并未用到  $\psi$  是满射这一条件, 从而可以加强为在  $0 \rightarrow B \xrightarrow{\varphi} B' \xrightarrow{\psi} B''$  下证明。

2. 设

$$0 \rightarrow B \xrightarrow{\varphi} B' \xrightarrow{\psi} B'' \rightarrow 0$$

是正合序列, 只需证明

$$A \otimes B \xrightarrow{\varphi^*} A \otimes B' \xrightarrow{\psi^*} A \otimes B'' \rightarrow 0$$

是正合序列。

由 1. 有

$$\mathrm{Hom}(A, \mathrm{Hom}(B, G)) \xrightarrow{\varphi^*} \mathrm{Hom}(A, \mathrm{Hom} B', G) \xrightarrow{\psi^*} \mathrm{Hom}(A, \mathrm{Hom}(B'', G)) \rightarrow 0$$

是正合序列,  $G$  是任意 Abel 群。

从而由 2.12, 有

$$\mathrm{Hom}(A \otimes B, G) \xrightarrow{\varphi^*} \mathrm{Hom}(A \otimes B', G) \xrightarrow{\psi^*} \mathrm{Hom}(A \otimes B'', G) \rightarrow 0$$

接下来对 1. 证明一个类似于逆命题一样的引理即可:

**引理 2.24.** 如果  $0 \rightarrow \mathrm{Hom}(A, B) \xrightarrow{\varphi^*} \mathrm{Hom}(A, B') \xrightarrow{\psi^*} \mathrm{Hom}(A, B'')$  是正合序列, 那么  $0 \rightarrow B \xrightarrow{\varphi} B' \xrightarrow{\psi} B''$  是正合序列。对反变函子自然反过来。

引理的证明同 1. 非常相似:

如果  $\varphi(b) = 0$ , 那么取  $A = (b) \subset B$ , 那么  $\varphi(A) = 0$ , 对  $a \in A$ , 此时  $\varphi^*(i_A)(a) = \varphi(i_A(a)) = \varphi(a) = 0$ , 从而  $i_A = 0$ , 这表明  $i_A(b) = b = 0$ 。

设  $b \in B$ , 若  $\psi(\varphi(b)) \neq 0$ , 那么取  $A = (b)$ , 此时  $\psi^*(\varphi^*(i_A))(b) = \psi(\varphi^*(i_A)(b)) = \psi(\varphi(i_A(b))) = \psi(\varphi(b)) \neq 0$ , 矛盾。从而  $\psi(\varphi(b)) = 0, \forall b \in B$ 。这表明  $\mathrm{Im}(\varphi) \subset \mathrm{Ker}(\psi)$ 。

取  $A = \mathrm{Ker}(\psi)$ , 那么  $\psi^*(i_A) = 0$ , 从而  $i_A \in \mathrm{Im}(\varphi^*)$ 。设  $\varphi^*(f) = i_A$ , 那么  $\varphi^*(f)(a) = i_A(a) = a, \forall a \in A$ , 这表明  $\varphi(f(a)) = a$ , 即  $A \subset \mathrm{Im}(\varphi)$ , 从而  $\mathrm{Ker}(\psi) = \mathrm{Im}(\varphi)$ 。□

## 2.4 Projectives, Injectives, and Flats

**定义 2.25.**

1.  $A \in {}_R M$ ,  $A$  is projective if  $\mathrm{Hom}(A, \bullet)$  is an exact functor.
2.  $A \in {}_R M$ ,  $A$  is injective if  $\mathrm{Hom}(\bullet, A)$  is an exact functor.
3.  $A \in M_R$ ,  $A$  is flat if  $A \otimes$  is an exact functor.

**例 6.**  $R$  is projective because  $\mathrm{Hom}(R, B) \cong B$  via  $f \mapsto f(1)$ ; and  $R$  is flat because  $R \otimes B \cong B$ .

**注 2.26.** 根据 2.22:

- 模  $P$  是投射模当且仅当对正合列  $B \xrightarrow{\rho} C \rightarrow 0$  及  $f \in \mathrm{Hom}_R(P, C)$ , 有交换图表

$$\begin{array}{ccc} & P & \\ & \downarrow f & \\ B & \xrightarrow{\rho} & C \longrightarrow 0 \end{array}$$

- 模  $E$  是内射模当且仅当对正合列  $0 \rightarrow A \xrightarrow{\varphi} B$  及  $f \in \mathrm{Hom}_R(A, E)$ , 有交换图表

$$\begin{array}{ccccc} 0 & \longrightarrow & A & \xrightarrow{\varphi} & B \\ & & \downarrow f & \searrow g & \\ & & E & & \end{array}$$

注 2.27.  $g$  不一定唯一。

命题 2.28.

- 假设  $A_i \in {}_R M$ , 则  $\oplus A_i$  是投射模当且仅当每个  $A_i$  都是投射模;
- 假设  $A_i \in {}_R M$ , 则  $\prod A_i$  是内射模当且仅当每个  $A_i$  都是内射模;
- 假设  $A_i \in M_R$ , 则  $\oplus A_i$  是平坦模当且仅当每个  $A_i$  都是平坦模。

推论 2.29. 自由模是投射模和平坦模。

证明. 自由  $R$  模同构于  $\oplus R$ , 这里  $R$  不必有限。  $\square$

推论 2.30. 每个  $A \in {}_R M$  都是一个投射模的商映射下的像。

证明. 每个  $A$  都是由  $A$  元素生成的自由模的商模。  $\square$

命题 2.31. 如果  $P \in {}_R M$ , 那么  $P$  是投射的当且仅当如果  $A \xrightarrow{\varphi} P$  是满射, 那么  $P$  是  $A$  的直和项。

证明. 如果  $P$  是投射的, 那么考虑交换图表:

$$\begin{array}{ccccc} & & P & & \\ & \swarrow g & \downarrow i_P & & \\ A & \xrightarrow{\varphi} & P & \longrightarrow & 0 \end{array}$$

有  $A = \text{Im}(g) \oplus \text{Ker}(\phi)$  且  $\text{Im}(g) \cong P$ 。

反之, 考虑  $A$  是  $P$  的自由模, 那么  $A$  是投射模, 此时它的所有直和项都是投射模。  $\square$

推论 2.32.  $P \in {}_R M$  is projective if and only if every short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow P \rightarrow 0$  splits.

推论 2.33. 每个投射模都是一个自由模的直和项。

推论 2.34. 每个投射模  $P \in M_R$  都是平坦模。

引理 2.35 (Baer). 假设  $E \in {}_R M$ , 则  $E$  是内射模当且仅当对任意  $R$  的左理想  $I$  及  $f \in \text{Hom}(I, E)$ , 存在  $g \in \text{Hom}(R, E)$ , 使得  $g \circ i_I = f$ 。

$$\begin{array}{ccccc} 0 & \longrightarrow & I & \hookrightarrow & R \\ & & \downarrow f & \searrow g & \\ & & E & & \end{array}$$

证明.  $\Rightarrow$ : 如果  $E$  是内射模, 则由于  $0 \rightarrow I \hookrightarrow R$  是整合列, 那么根据 2.26, 这样的  $g$  是存在的。

$\Leftarrow$ : 只需对任意的正合列  $0 \rightarrow A \xrightarrow{\varphi} B$ , 及  $f \in \text{Hom}(A, E)$ , 证明存在  $g \in \text{Hom}(B, E)$  使得  $g \circ \varphi = f$ 。

利用 Zorn 引理, 考虑所有的  $(B', g')$  使得  $\varphi(A) \subset B' \subset B$ , 且  $\varphi \circ g' = f$

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{\varphi} & B' & \longrightarrow & B \\ & & \downarrow f & \swarrow g' & & & \\ & & E & & & & \end{array}$$

这样的  $(B', g')$  是存在的, 因为  $(\varphi(A), f\varphi^{-1})$  满足条件。规定  $(B', g') \geq (B'', g'')$  当且仅当  $B'' \subset B'$  且  $g'|_{B''} = g''$ , 这是一个偏序关系, 且该偏序集每个链都有上界, 由 Zorn 引理, 该集合存在极大元  $(B', g')$ , 且不难看出极大元是唯一的。

如果  $B' \neq B$ , 那么取  $x_0 \in B, x_0 \notin B'$ , 令  $I = \text{Ann}_{R/B'}(x_0)$  ( $x_0$  的零化子), 则  $I$  是  $R$  的左理想。

构造  $\bar{f}: I \rightarrow E, \bar{f}(r) = g'(rx_0)$ , 这个映射可以提升至  $\bar{g}: R \rightarrow E$ 。令  $B'' = B' + Rx_0$ , 令  $g''(b + rx_0) = g'(b) + \bar{g}(r)$ ,  $g''$  是良定义的。此时  $(B'', g'') \geq (B', g')$ , 矛盾。  $\square$

**推论 2.36.** Suppose  $R$  is a PID, and suppose  $E \in {}_R M$  has the property that  $rE = E$  for all  $r \in R, r \neq 0$ , then  $E$  is injective.

证明.  $I = (r), f(r) \in E = rE$ , 设  $f(r) = ra$ , 那么可以取  $g(x) = xa, \forall x \in R$ .  $\square$

**推论 2.37.**  $R$  是 PID,  $R$  的分式域是内射  $R$  模。

**注 2.38.**  $E$  is called divisible if  $rE = E$  whenever  $r$  is a right nonzero divisor in  $R$  (that is,  $\forall x \in R, xr = 0 \Rightarrow x = 0$ ).

**注 2.39.** 如果  $R$  是 PID, 我们可以用 2.36 构造出一系列内射模: 设  $A \in {}_R M$ , 记  $A$  生成的自由模  $F = \oplus R$ , 且  $A = F/K$ 。令  $Q$  是  $R$  的分式域,  $Q$  是内射模。可以做嵌入  $A \cong (\oplus R)/K \hookrightarrow (\oplus Q)/K \triangleq E$ , 且  $\forall r \in R, r \neq 0, rE = (\oplus(rQ))/K = (\oplus Q)/K = E$ , 从而  $E$  是内射模。

**推论 2.40.** 如果  $R$  是 PID, 那么每个  $A \in {}_R M$  都可以嵌入一个内射模。

**定理 2.41.** 对一般的环  $R$ , 也可以构造内射模: 假定  $A \in M_R$  是平坦模, 且  $G \in {}_{\mathbb{Z}} M$  是内射模, 那么  $\text{Hom}_{\mathbb{Z}}(A, G)$  是  ${}_R M$  中的内射模。

证明. 假设  $0 \rightarrow B \rightarrow C \rightarrow D \rightarrow 0$  正合, 那么

$$0 \rightarrow A \otimes B \rightarrow A \otimes C \rightarrow A \otimes D \rightarrow 0$$

正合, 那么

$$0 \rightarrow \text{Hom}(A \otimes D, G) \rightarrow \text{Hom}(A \otimes C, G) \rightarrow \text{Hom}(A \otimes B, G) \rightarrow 0$$

正合, 即

$$0 \rightarrow \text{Hom}(D, \text{Hom}_{\mathbb{Z}}(A, G)) \rightarrow \text{Hom}(C, \text{Hom}_{\mathbb{Z}}(A, G)) \rightarrow \text{Hom}(B, \text{Hom}_{\mathbb{Z}}(A, G)) \rightarrow 0$$

正合。这代表  $\text{Hom}_{\mathbb{Z}}(A, G)$  是内射模。  $\square$

**推论 2.42.** 对任意  $A \in {}_R M$ , 那么存在内射模  $E \in {}_R M$  和单同态  $A \rightarrow E$ 。

证明. 考虑  $A$  作为 Abel 群 (即  $\mathbb{Z}$  左模), 由 2.40, 存在 Abel 群  $G$  使得  $A$  可以嵌入  $G$  且  $G$  是内射模。我们有

$$A \cong \text{Hom}_R(R, A) \subset \text{Hom}_{\mathbb{Z}}(R, A) \subset \text{Hom}_{\mathbb{Z}}(R, G)$$

而  $\text{Hom}_{\mathbb{Z}}(R, G)$  是内射模。□

**命题 2.43.**  $E$  is injective if and only if  $E$  is an absolute direct summand, that is,  $E$  is a direct summand of any module having  $E$  as a submodule.

证明. 考虑  $E$  作为子模的嵌入  $\varphi: E \rightarrow E'$ , 那么  $i_E$  可以提升到  $g: E' \rightarrow E$ , 即有交换图表

$$\begin{array}{ccccc} 0 & \longrightarrow & E & \xrightarrow{\varphi} & E' \\ & & \downarrow i_E & \nearrow g & \\ & & E & & \end{array}$$

则  $\text{Im}(g) \oplus \text{Ker}(g) \cong E'$ , 而  $\text{Im}(g) = E$ , 从而  $E$  是直和项。□

**定义 2.44.**  $R$  is called left Noetherian if every left ideal is finitely generated.

**定理 2.45.** Bass-Papp]  $R$  is left Noetherian if and only if every direct sum of injectives in  ${}_R M$  is injective.

证明. 令  $R$  是左诺特环, 对  $E_i$  是一列内射模, 和任意一个理想  $I = (a_1, \dots, a_n)$ , 对任意  $\phi \in \text{Hom}(I, \oplus E_i)$ , 由于  $\phi_j(a_k) \neq 0$  的  $j$  是有限的, 从而从某个  $N$  开始  $\phi_j(a_k) = 0, j > N, \forall k \in [1, n]$ , 即  $\phi(I) \subset \oplus_{i=1}^N E_i = \Pi_{i=1}^N E_i$ , 由 2.28,  $\Pi_{i=1}^N E_i$  是内射模, 从而有交换图表

$$\begin{array}{ccccc} 0 & \longrightarrow & I & \xrightarrow{\varphi} & R \\ & & \downarrow \phi & \nearrow g & \\ & & \oplus_{i=1}^N E_i & & \\ & & \downarrow & & \\ & & \oplus E_i & & \end{array}$$

从而此时  $\phi$  被提升至  $R \rightarrow \oplus E_i$ , 由 2.35, 得出  $\oplus E_i$  是内射模。

而如果  $R$  不是左诺特环, 则存在严格增的左理想链  $I_1 \subset I_2 \subset \dots$ 。记  $I = \bigcup_{n=1}^{\infty} I_n$ , 令  $E_n$  是包含  $I/I_n$  的内射模且有单射  $\varphi_n: I/I_n \rightarrow E_n$ , 对  $x \in I$ , 定义  $\varphi(x) = \oplus \varphi_n(x + I_n)$ , 可以看出  $\varphi$  取值在  $\oplus E_n$  中。

如果  $\varphi$  可以提升至  $g$  即有  $g \circ i_I = \varphi$ , 记  $g_n = j_n \circ g: R \rightarrow E_n$ , 不难看出  $g_n$  是  $\varphi_n$  的提升。那么  $g_n(x) = \varphi(x + I_n) = x g_n(1), \forall x \in I - I_n$ , 那么  $g_n(x) \neq 0$  从而有  $g_n(1) \neq 0$ 。这表明  $g(1) \notin \oplus E_i$ 。□

**命题 2.46.**  $E \in {}_R M$  is injective if and only if every short exact sequence  $0 \rightarrow E \rightarrow B \rightarrow C \rightarrow 0$  splits.

**定义 2.47.** Let  $M$  and  $E$  be left  $R$ -modules. Then  $E$  is an essential extension of  $M$  if there is an one-to-one  $R$ -map  $\alpha : M \rightarrow E$  with  $S \cap \alpha(M) \neq 0$  for every nonzero submodule  $S \subset E$ . If also  $\alpha(M) \subsetneq E$ , then  $E$  is called a proper essential extension of  $M$ .

**命题 2.48.** A left  $R$ -module  $M$  is injective if and only if  $M$  has no proper essential extension.

**证明.** If  $M$  is injective but there exists a proper essential extension  $E$  of  $M$ , then  $M$  is a direct summand of  $E$  and suppose  $E = M \oplus M'$ . But we have  $M' \cap M = 0$ , a contradiction.

If  $M$  is not injective, then  $M$  is not an absolute direct summand, hence there exists  $M \subset E$  such that  $M$  is not a direct summand of  $E$ . If  $E$  is not a proper essential extension of  $M$ , that is  $M \cap S = 0$  for some  $S \subset E$  is a submodule. By Zorn's lemma, we can make  $S$  be the maximal satisfied the property. If there exists  $x \notin M + S$ , then  $(S + (x)) \cap M = 0$ , a contradiction, so  $M + S = E$ , hence  $E = M \oplus S$ . Therefore,  $E$  is the direct summand of  $E$ , a contradiction.  $\square$

**推论 2.49.** Given  $M \in {}_R M$ , the following conditions are equivalent:

1.  $E$  is a maximal essential extension of  $M$ ; that is, no proper extension of  $E$  is an essential extension of  $M$
2.  $E$  is an injective module and  $E$  is an essential extension of  $M$
3.  $E$  is an injective module and there is no proper injective intermediate submodule  $E'$ , that is, there is no injective  $E'$  with  $M \subset E' \subsetneq E$ .

**定义 2.50.** If  $M$  is a left  $R$ -module, then  $E$  containing  $M$  is an injective envelop of  $M$ , denoted by  $\text{Env}(M)$ , if any of the equivalent conditions in 2.49 hold.

**定理 2.51** (Eckmann-Schöpf).  $R$ -模同构下  $\text{Env}(M)$  保持不变。

## 2.5 Exercises

1. Suppose only that  $A$  is a coproduct of  $A_1$  and  $A_2$  in  ${}_R M$ , that is,

$$A_1 \xrightarrow{\varphi_1} A \xleftarrow{\varphi_2} A_2$$

makes  $A$  into a coproduct of  $A_1$  and  $A_2$  in  ${}_R M$ . Show that there are unique  $\pi_i : A \rightarrow A_i$  making  $A$  into a biproduct, using only the properties of a coproduct.

**证明.**  $\pi_1$  arises as a filler for

$$\begin{array}{ccccc} A_1 & \xrightarrow{\varphi_1} & A & \xleftarrow{\varphi_2} & A_2 \\ & \searrow i_{A_1} & \downarrow \pi_1 & \swarrow 0 & \\ & & A_1 & & \end{array}$$

The construction of  $\pi_2$  is the same.

And then  $i_{A_i} \circ \pi_i \circ \varphi_i = i_{A_i}$ , we only need to confirm  $\varphi_1 \circ i_{A_1} \circ \pi_1 + \varphi_2 \circ i_{A_2} \circ \pi_2 = i_A$ .

Let  $\psi = \varphi_1 \circ i_{A_1} \circ \pi_1 + \varphi_2 \circ i_{A_2} \circ \pi_2$ ,  $\psi \circ \varphi_i = \varphi_i$ , so  $\psi$  is the filler for

$$\begin{array}{ccccc}
 A_1 & \xrightarrow{\varphi_1} & A & \xleftarrow{\varphi_2} & A_2 \\
 & \searrow \varphi_1 & \downarrow \psi & \swarrow \varphi_2 & \\
 & & A & & 
 \end{array}$$

But  $i_A$  also makes the diagram commutative, this induces  $\psi = i_A$ .  $\square$

2. Suppose

$$0 \rightarrow A \xrightarrow{\varphi} B \xrightarrow{\pi} C \rightarrow 0$$

is exact, and suppose  $\psi : C \rightarrow B$  satisfies  $\pi \circ \psi = i_C$ . Show that this sequence splits.

证明. Since  $\forall b \in B$ ,  $b = b - \psi \circ \pi(b) + \psi \circ \pi(b) \in \text{Ker}(\pi) + \text{Im}(\psi)$ , and  $\text{Ker}(\pi) \cap \text{Im}(\psi) = \{0\}$ , we have  $B = \text{Ker}(\pi) \oplus \text{Im}(\psi) = \text{Im}(\varphi) \oplus \text{Im}(\psi) \cong A \oplus \text{Im}(\psi) \cong A \oplus C$ .  $\square$

3. Show that  $\text{Hom}(A, \prod B_i) \cong \prod \text{Hom}(A, B_i)$  and  $\text{Hom}(\oplus A_i, B) \cong \prod \text{Hom}(A_i, B)$

证明. Let  $\pi_j : \prod B_i \rightarrow B_j$  denote the projection, then we can induce a homomorphism

$$\Phi : \text{Hom}(A, \prod B_i) \rightarrow \prod \text{Hom}(A, B_i)$$

$$\Phi(f) = (\pi_i \circ f)_{i \in I}$$

$\Phi(f) = 0 \Rightarrow \pi_i \circ f = 0, \forall i \in I \Rightarrow f = 0$ , so  $\Phi$  is an isomorphism.  $\square$

4. Suppose  $B \in {}_R M$ , and  $I$  is a right ideal. Show that the obvious map from  $I \otimes B$  to  $IB$  is always onto. Suppose it is not one-to-one. Show that there is a finitely generated right ideal  $J \subset I$  such that  $J \otimes B \rightarrow JB$  is not one-to-one.

证明. Obviously we have  $\sum_{j=1}^n i_j \otimes b_j \mapsto \sum_{j=1}^n i_j b_j$ , so the map is onto.

If it's not a one-to-one, there exists a nonzero element  $\sum_{j=1}^n i_j \otimes b_j \mapsto 0$ , let  $J = (i_1, \dots, i_n)$  is finitely generated right ideal, then  $J \otimes B \rightarrow JB$  is not one-to-one.  $\square$

5. Suppose  $F$  is an exact covariant functor from  ${}_R M$  to Abelian groups. Show that  $F$  sends any exact sequence  $A \rightarrow B \rightarrow C$  to an exact sequence  $F(A) \rightarrow F(B) \rightarrow F(C)$ .

证明. Let  $K$  denote the kernel of  $B \rightarrow C$  and  $L$  the kernel of  $A \rightarrow B$ . We get the diagram

$$\begin{array}{ccccccc}
 & & L & & & & \\
 & & \downarrow & & & & \\
 & & A & & & & \\
 & & \downarrow & & & & \\
 0 & \longrightarrow & K & \longrightarrow & B & \longrightarrow & C \\
 & & \downarrow & & & & \\
 & & 0 & & & & 
 \end{array}$$

with exact row and column. Applying  $F$  and using its exactness yields the result.  $\square$



6. Show that any injective module is divisible. Also show that if  $a$  is a right zero-divisor, and if  $R$  is a submodule of  $E$ , then  $1 \notin aE$  (even if  $E$  is injective).

证明. Let  $E$  denote the injective module. If  $r \in R$  is a right nonzero divisor, then  $0 \rightarrow Rr \xrightarrow{\varphi} R$  is an exact sequence. Hence there exists a  $g_x : R \rightarrow E$  such that  $g_x \circ \varphi = f_x$ ,  $f_x(x \in E)$  denotes the map

$$f_x : Rr \rightarrow E$$

$$tr \mapsto t \cdot x$$

it's well-defined because  $r$  is a right nonzero divisor. Then  $x = f_x(r) = g_x(\varphi(r)) = g_x(r) = rg_x(1)$ , so  $\forall x \in E, x \in rE$ , this shows that  $E = rE$ .

If  $a$  is a right zero divisor, and if  $R$  is a submodule of  $E \in {}_R M$ , we can assume  $ra = 0, r \neq 0$ , then  $1 \in aE \Rightarrow r = r \cdot 1 = rae = 0$ , it's a contradiction.  $\square$

7. Suppose  $P \in {}_R M$ , and suppose a filler  $g$  exists for any diagram

$$\begin{array}{ccc}
 & P & \\
 g \swarrow & \downarrow f & \\
 E & \xrightarrow{\pi} C & \longrightarrow 0
 \end{array}$$

when  $E$  is injective. Show that  $P$  is projective.

证明. Given  $A \xrightarrow{\pi} B \rightarrow 0$ , we can imbed  $A$  in an injective module  $E$ . Let  $j$  denote the map  $E \rightarrow E/\text{Ker}(\pi)$ , then for any  $f \in \text{Hom}(P, E/\text{Ker}(\pi))$  there exists  $g \in \text{Hom}(P, E)$  such that  $j \circ g = f$ . Since  $E$  is injective and  $\varphi : A \rightarrow E$  is one-to-one, so there exists  $g' \in \text{Hom}(P, A)$  such that  $\varphi \circ g' = g$ . We have  $\pi \circ g' = j|_A \circ (\varphi \circ g') = f$ , so we conclude there exists  $g' \in \text{Hom}(P, A)$  for all  $f \in \text{Hom}(P, B)$ .  $\square$

8. Let  $R$  denote the ring of continuous functions from the real line  $\mathbb{R}$  to itself which are periodic with period  $\pi$ , that is,  $f(x + \pi) = f(x)$  for all  $x$ . Let  $P$  denote the continuous functions from  $\mathbb{R}$  to itself for which  $f(x + \pi) = -f(x)$ . Show that  $P \oplus P \cong R \oplus R$ , so that  $P$  is projective. Show also that  $P$  is not free.

证明. If  $(f, g) \in R \oplus R$ , then  $f \sin x + g \cos x, f \sin x - g \cos x \in P$ . Similarly, if  $f, g \in P \oplus P$ ,  $f \sin x + g \cos x, f \sin x - g \cos x \in R$ . So  $P \oplus P \cong R \oplus R$ . Then  $P$  is a projective  $R$  module.

If  $P$  is free, then  $P = \bigoplus_{i \in I} R$ , then  $\bigoplus_{i \in I} (R \oplus R) \cong R \oplus R$ , it's impossible unless  $P = R$ .  $\square$

**定义 2.52.** If  $G$  is a divisible Abelian group, then  $G$  will be referred to a coseparator if  $G$  contains an element of order  $p$  for every prime  $p$ .

9. Suppose  $G$  is a coseparator and  $0 \neq h \in H \in \mathbf{Ab}(\text{the category of Abelian groups})$ . Show that there is a  $\varphi \in \text{Hom}_{\mathbb{Z}}(H, G)$  for which  $\varphi(h) \neq 0$ . (An injective coseparator in  $\mathbf{Ab}$  is usually defined as an Abelian group  $G$  with this property).

证明.  $0 \rightarrow (h) \rightarrow H$  is an exact sequence, obviously there exists  $f \in \text{Hom}((h), G)$  such that  $f \neq 0$  because of coseparator, then there is a  $\varphi \in \text{Hom}(H, G)$  such that  $g|_{(h)} = f$ , hence  $\varphi(h) \neq 0$ .  $\square$

10.[Partial converse of 2.41.] Suppose  $G$  is a coseparator,  $A \in M_R$ , and suppose  $\text{Hom}_{\mathbb{Z}}(A, G)$  is injective. Show that  $A$  is flat.

证明. For all exact sequence

$$0 \rightarrow B \xrightarrow{\varphi} C \xrightarrow{\psi} D \rightarrow 0$$

, we can obtain another exact sequence

$$0 \rightarrow \text{Hom}(D, \text{Hom}(A, G)) \rightarrow \text{Hom}(C, \text{Hom}(A, G)) \rightarrow \text{Hom}(B, \text{Hom}(A, G)) \rightarrow 0$$

, then

$$0 \rightarrow \text{Hom}(A \otimes D, G) \xrightarrow{\Psi} \text{Hom}(A \otimes C, G) \xrightarrow{\Phi} \text{Hom}(A \otimes B, G) \rightarrow 0$$

is exact.

Then we show that

$$0 \rightarrow A \otimes B \xrightarrow{\varphi^*} A \otimes C \xrightarrow{\psi^*} A \otimes D \rightarrow 0$$

is exact.

By using the right exactness of  $A \otimes$ , it suffices to show that  $0 \rightarrow A \otimes B \xrightarrow{\varphi^*} A \otimes C$  is exact, that is equivalent to  $\varphi^*$  is one-to-one.

For all nonzero  $\sum a_i \otimes b_i \triangleq b \in A \otimes B$ , there exists  $f \in \text{Hom}(A \otimes B, G)$  such that  $f(b) \neq 0$  by the last exercise. Since  $\Phi$  is onto, there exists  $g \in \text{Hom}(A \otimes C, G)$  satisfied the property  $\Phi(g) = f$ , i.e.  $f = g \circ \varphi^*$ . Hence  $f(b) \neq 0 \Rightarrow \varphi^*(b) \neq 0$ , i.e.  $\text{Ker}(\varphi^*) = \{0\}$ .  $\square$

11. Suppose  $A \in M_R$ . Show that  $A$  is flat if and only if  $A \otimes I \rightarrow AI$  is one-to-one for every finitely generated left ideal  $I$ .

证明. If  $A$  is flat, then  $0 \rightarrow A \otimes I \rightarrow A \otimes R \cong A$  is exact, and we have  $\text{Im}(I \rightarrow A) = AI$ , then  $A \otimes I \rightarrow AI$  is one-to-one.

If  $A \otimes I \rightarrow AI$  is one-to-one for any finitely generated left ideal  $I$ , since it's onto, then we obtain a isomorphism  $A \otimes I \cong AI$ , and we can get rid of the requirement "finitely generated" by the exercise 4.

For any  $f \in \text{Hom}(I, \text{Hom}(A, G)) \cong \text{Hom}(A \otimes I, G) \cong \text{Hom}(AI, G)$  ( $G$  is a coseparator), since  $G$  is injective and  $0 \rightarrow AI \hookrightarrow A$  is exact, there exists  $g \in \text{Hom}(A, G) \cong \text{Hom}(A \otimes R, G) \cong \text{Hom}(R, \text{Hom}(A, G))$  such that  $g|_I = f$ , then  $\text{Hom}(A, G)$  is injective by Baer's theorem. Hence  $A$  is flat by the last exercise.  $\square$

12. Suppose  $R$  is a PID, Show that  $A$  is flat if and only if  $A$  is torsion free; that is  $ar = 0 \Rightarrow a = 0$  or  $r = 0$  for  $a \in A$ ,  $r \in R$ . Hence, show  $\mathbb{Q}$  is a flat  $\mathbb{Z}$ -module.

证明. Using the last exercise, we conclude

$$A \text{ is flat} \Leftrightarrow \forall r \in R, A \otimes (r) \cong A(r) \Leftrightarrow (\sum a_j r_j = 0 \Rightarrow \sum a_j \otimes r_j = 0)$$

If  $A$  is flat, we have  $ar = 0 \Leftrightarrow a \otimes r = 0 \Leftrightarrow a = 0$  or  $r = 0$ .

If  $A$  is torsion free, let  $\sum_{i=1}^n a_j r_j = \sum (a_j t_j) r = 0$ . We have  $\sum_{i=1}^n a_j \otimes r_j = \sum a_j \otimes t_j r = \sum a_j t_j \otimes r = (\sum a_j t_j) \otimes r = 0$ .  $\square$

**注 2.53.** 注意把最后一步和 2.8 的第二段作比较, 这里可以做到系数的转移而那里不可以。

13. Suppose  $R$  and  $S$  are rings,  $A \in M_R$ ,  $B \in {}_R M_S$ , and  $C \in {}_S M$ . Then  $A \otimes_R B \in M_S$  and  $B \otimes_S C \in {}_R M$ . Show that  $A \otimes_R (B \otimes_S C) \cong (A \otimes_R B) \otimes_S C$ .

证明. We have the natural map  $a \otimes (b \otimes c) \mapsto (a \otimes b) \otimes c$ .  $\square$

14. Suppose we have a commutative diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & A & \xrightarrow{j} & B & \xrightarrow{\pi} & C \longrightarrow 0 \\
 & & \downarrow \eta & & \downarrow \psi & & \downarrow \phi \\
 0 & \longrightarrow & A' & \xrightarrow{j'} & B' & \xrightarrow{\pi'} & C' \longrightarrow 0
 \end{array}$$

in  ${}_R M$  with exact rows. Prove that:

- a) If  $\eta$  and  $\phi$  are one-to-one, then so is  $\psi$ .
- b) If  $\eta$  and  $\phi$  are onto, then so is  $\psi$ .

证明. a) If  $\psi(b) = 0$ , then  $\phi(\pi(b)) = \pi'(\psi(b)) = 0$ . Since  $\phi$  is one-to-one, we have  $\pi(b) = 0$ , then  $b \in \text{Ker}(\pi) = \text{Im}(j)$ . Let  $j(a) = b$ , then  $j'(\eta(a)) = \psi(j(a)) = 0$ , then  $a = 0$  because  $\eta$  and  $j'$  are one-to-one. Hence  $b = j(a) = 0$ . Hence  $\psi$  is one-to-one.

b) For any  $b' \in B'$ , there exists  $c \in C$  such that  $\phi(c) = \eta'(b')$  because  $\phi$  is onto. Since  $\pi$  is onto, then  $c = \pi(b)$  for some  $b \in B$ . Hence  $\eta'(\psi(b)) = \phi(\pi(b)) = \eta'(b')$ , i.e.  $b' - \psi(b) \in \text{Ker}(\eta') = \text{Im}(j')$ . Hence  $b' - \psi(b) = j'(a')$  for some  $a' \in A'$ , and  $a' = \eta(a)$  for some  $a \in A$  because  $\eta$  is onto. Since  $\psi(j(a)) = j'(\eta(a)) = b' - \psi(b)$ , we obtain  $b' = \psi(j(a) + \psi(b)) \in \text{Im}(\psi)$ , therefore  $\psi$  is onto.  $\square$

15. Suppose  $A \in {}_S M_R$ ,  $B \in {}_R M$  and  $C \in {}_S M$ . Then  $\text{Hom}_S(A, C)$  becomes a left  $R$ -module, and  $A \otimes_R B$  becomes a left  $S$ -module. Prove that  $\text{Hom}_S(A \otimes_R B, C) \cong \text{Hom}(B, \text{Hom}(A, C))$ .

证明. The proof is similar to 2.12.  $\square$

16. Suppose  $R$  is a PID, and  $a$  is a nonzero non-unit in  $R$ . Show that  $R/Ra$  is an injective module over itself.

证明. For any  $I \subset R/Ra$ ,  $I$  is principal ideal, let  $I = (\bar{b})$ . Since  $a \in (b)$ , we have  $a = bt$  for some  $t \in R$ .

For any  $f \in \text{Hom}_{R/Ra}(I, R/Ra)$ , we have  $\bar{t}f(\bar{b}) = f(\bar{t}\bar{b}) = 0$ . Let  $\bar{c}$  denote  $f(\bar{b})$ , we obtain  $tc \in Ra$ , i.e.  $tc = as = tbs$  for some  $s \in R$ . Hence we have  $c = bs$ . Now we can extend  $f$  to  $g : \bar{1} \mapsto_{R/Ra} \bar{s}$ ,  $g(\bar{b}\bar{d}) = \bar{d}bg(1) = \bar{d}\bar{c}$ , so  $g|_I = f$ .

Then  $R/Ra$  is injective by Baer's Theorem.  $\square$

## 2.6 Something about Flat Modules

Exercise 11 gives us

**定理 2.54.** Suppose  $A \in M_R$ .  $A$  is flat if and only if  $A \otimes I \rightarrow AI$  is one-to-one for every finitely generated left ideal  $I$ .

**命题 2.55.** Let  $0 \rightarrow K \rightarrow F \xrightarrow{\varphi} A \rightarrow 0$  be an exact sequence of right  $R$ -modules in which  $F$  is flat. Then  $A$  is a flat module if and only if  $K \cap FI = KI$  for every finitely generated left ideal  $I$ .

**证明.** We have  $K \otimes I \rightarrow F \otimes I \rightarrow A \otimes I \rightarrow 0$  is exact since  $\otimes I$  is right exact.

We can define  $\gamma : A \otimes I \rightarrow FI/KI$ , given by  $\varphi(f) \otimes i \mapsto fi + KI$ , where  $f \in F$ ,  $i \in I$ . The homomorphism is well-defined, if not there exists  $\sum \varphi(f) \otimes i = \sum \varphi(f') \otimes i'$  gives  $\sum f'i' + KI \neq \sum fi + KI$ , hence there exists  $\sum \varphi(f) \otimes i = 0$  but  $\sum fi \notin KI$ , we have  $\sum f \otimes i \in \text{Ker}(\varphi \otimes i_I) = \text{Im}(K \otimes I \rightarrow F \otimes I)$ , set  $\sum k \otimes i \mapsto \sum f \otimes i$ , hence  $\sum ki = \sum fi \in KI$ , a contradiction.

$$\begin{array}{ccccccccc} K \otimes I & \longrightarrow & F \otimes I & \xrightarrow{\varphi \otimes i_I} & A \otimes I & \longrightarrow & 0 & \longrightarrow & 0 \\ \downarrow \theta & & \downarrow \theta' & & \downarrow \gamma & & \downarrow & & \downarrow \\ KI & \longrightarrow & FI & \longrightarrow & FI/KI & \longrightarrow & 0 & \longrightarrow & 0 \end{array}$$

Since  $\theta$  is onto, and  $\theta'$  is isomorphism, 5-lemma gives us  $\gamma$  is isomorphism.

Suppose  $\sigma : FI/KI \rightarrow FI/K \cap FI$  via  $x + KI \mapsto x + K \cap FI$ , we have  $\text{Ker}(\sigma) = K \cap FI/KI$ . Thus  $A \otimes I / \text{Ker}(\sigma) \cong FI/K \cap FI$ . But  $\varphi(FI) = AI$  infers that  $FI / \text{Ker} = AI$ , and obviously the  $\text{Ker} = K \cap FI$  by the exactness, hence  $A \otimes I / \text{Ker}(\sigma) \cong AI$ . Then  $A$  is flat if and only if  $\sigma$  is isomorphism if and only if  $FI \cap K = KI$ .  $\square$

**引理 2.56.** Let  $0 \rightarrow K \rightarrow F \rightarrow A \rightarrow 0$  be an exact sequence of right  $R$ -modules, where  $F$  is free with basis  $\{x_j : j \in J\}$ . For each  $v \in F$ , define  $I(v)$  is the ideal by the coordinates of  $v$ , that is, if  $v = \sum_{i=1}^n x_{j_i} r_i \in F$ ,  $r_i \in R$  then  $I(v) = (r_1, \dots, r_n) \subset R$ . Then  $A$  is flat if and only if  $v \in KI(v)$  for every  $v \in K$ .

**证明.**  $A$  is flat if and only if  $K \cap FI(v) = KI(v)$ .

If  $A$  is flat, then  $v \in K \cap FI(v) = KI(v)$ .

If  $v \in KI(v)$ , for any left ideal  $I$ , let  $v \in K \cap FI$ , then  $I(v) \subset I$ , hence  $K \cap FI \subset KI$ . Hence  $K \cap FI = KI$ .  $\square$

**定理 2.57** (Villamayor). Let  $0 \rightarrow K \rightarrow F \rightarrow A \rightarrow 0$  be exact, where  $F$  is free. The following statements are equivalent:

1.  $A$  is flat
2. For every  $v \in K$ , there is an  $R$ -map  $\theta : F \rightarrow K$  with  $\theta(v) = v$
3. For every  $v_1, \dots, v_n \in K$ , there is an  $R$ -map  $\theta : F \rightarrow K$  with  $\theta(v_i) = v_i$  for all  $i$

## 2.7 Purity

**定义 2.58.** An exact sequence  $0 \rightarrow B' \rightarrow B \rightarrow B'' \rightarrow 0$  of left  $R$ -modules is pure exact if, for every right  $R$ -module  $A$ , we have exactness of  $0 \rightarrow A \otimes B' \rightarrow A \otimes B \rightarrow A \otimes B'' \rightarrow 0$ .

**定理 2.59.** A left  $R$ -module  $B''$  is flat if and only if every exact sequence  $0 \rightarrow B' \rightarrow B \rightarrow B'' \rightarrow 0$  of left  $R$ -modules is pure exact.

证明. 这个证明用后面的 Tor 更容易, 由于  $\text{Tor}_1(A, B'') \rightarrow A \otimes B' \rightarrow A \otimes B \rightarrow A \otimes B'' \rightarrow 0$  是正合序列, 从而成立。  $\square$

## 3 Ext and Tor

### 3.1 Complexes and Projective Resolutions

**定义 3.1.** For a sequence  $A \xrightarrow{d} B \xrightarrow{\partial} C$ , it is called a complex if  $\partial \circ d = 0$ .

**定义 3.2.** The homology of the complex is defined to be the quotient  $\text{Ker}(\partial)/\text{Im}(d)$ .

**定义 3.3.** Suppose

$$\begin{array}{ccccc} A & \xrightarrow{d} & B & \xrightarrow{\partial} & C \\ \downarrow \varphi & & \downarrow \psi & & \downarrow \eta \\ A' & \xrightarrow{d'} & B' & \xrightarrow{\partial'} & C' \end{array}$$

commutes, with rows are complexes. Set  $H = \text{Ker}(\partial)/\text{Im}(d)$ ,  $H' = \text{Ker}(\partial')/\text{Im}(d')$ . We can now define a homomorphism from  $H$  to  $H'$  via  $\psi * (x + \text{Im}(d)) = \psi(x) + \text{Im}(d')$ . This  $\psi*$  is well-defined.

**定义 3.4.** If there exists another  $\varphi', \psi', \eta'$  yields  $\psi*$ , a homotopy is a pair of maps  $D : B \rightarrow A'$  and  $\Delta : C \rightarrow B'$  satisfying  $\psi - \psi' = d' \circ D + \Delta \circ \partial$ .

We have the diagram (obviously noncommutative)

$$\begin{array}{ccccc} A & \xrightarrow{d} & B & \xrightarrow{\partial} & C \\ \downarrow \varphi & \searrow \varphi' & \downarrow \psi & \searrow \psi' & \downarrow \eta \\ A' & \xrightarrow{d'} & B' & \xrightarrow{\partial'} & C' \end{array} \quad \begin{array}{c} D \\ \Delta \end{array}$$

引进同伦是因为如下性质:

**命题 3.5.** If a homotopy exists, then  $\psi* = \psi'*$ , since  $\psi(x) + \text{Im}(d) = \psi'(x) + d' \circ D(x) + \Delta \circ \partial(x) + \text{Im}(d') = \psi'(x) + d' \circ D(x) + \text{Im}(d') = \psi'(x) + \text{Im}(d')$  when  $x \in \text{Ker}(\partial)$ .

**定义 3.6.** Suppose  $B \in {}_R M$ , a projective resolution of  $B$ , denoted  $\langle P_n, d_n \rangle$ , is an exact sequence of  $R$ -modules

$$\cdots \rightarrow P_{n+1} \xrightarrow{d_{n+1}} P_n \xrightarrow{d_n} \cdots \rightarrow P_1 \xrightarrow{d_1} P_0 \xrightarrow{\pi} B \rightarrow 0$$

going off to infinity to the left, in which all  $P_n$  are projective.

**命题 3.7.** Any left  $R$ -module has a projective resolution.

**证明.** 由 2.30, 可以取投射模  $P_{n+1}$  使得  $d_{n+1} : P_{n+1} \rightarrow \text{Ker}(d_n)$  是满射。  $\square$

**命题 3.8.** Suppose  $B, B' \in {}_R M$ , and  $\varphi \in \text{Hom}(B, B')$ . Suppose  $\langle P_n, d_n \rangle$  is a projective resolution of  $B$ , and  $\langle P'_n, d'_n \rangle$  is a projective resolution of  $B'$ . Then there exists filler  $\varphi_n \in \text{Hom}(P_n, P'_n)$  making

$$\begin{array}{ccccccccccccccc} \cdots & \longrightarrow & P_{n+1} & \xrightarrow{d_{n+1}} & P_n & \xrightarrow{d_n} & \cdots & \longrightarrow & P_1 & \xrightarrow{d_1} & P_0 & \xrightarrow{\pi} & B & \longrightarrow & 0 \\ & & \downarrow \varphi_{n+1} & & \downarrow \varphi_n & & & & \downarrow \varphi_1 & & \downarrow \varphi_0 & & \downarrow \varphi & & \\ \cdots & \longrightarrow & P'_{n+1} & \xrightarrow{d'_{n+1}} & P'_n & \xrightarrow{d'_n} & \cdots & \longrightarrow & P'_1 & \xrightarrow{d'_1} & P'_0 & \xrightarrow{\pi'} & B' & \longrightarrow & 0 \end{array}$$

commutative. Further, if  $\varphi'_n \in \text{Hom}(P_n, P'_n)$  also serve as fillers, then  $\varphi_n$  and  $\varphi'_n$  are homotopic, that is, there exists  $D_n : P_n \rightarrow P'_{n+1}$  (with  $D_{-1} = 0$ ) such that  $\varphi_n - \varphi'_n = d'_{n+1} \circ D_n + D_{n-1} \circ d_n$ .

**证明.** If  $\varphi_0, \dots, \varphi_n$  has been defined, note that  $d'_n \circ \varphi_n \circ d_{n+1} = \varphi_{n-1} \circ d_n \circ d_{n+1} = 0$ , we have  $\text{Im}(\varphi_n \circ d_{n+1}) \subset \text{Ker}(d'_n) = \text{Im}(d'_{n+1})$ .

Since  $P_{n+1}$  is projective, then there exists a filler  $\varphi_{n+1}$  for

$$\begin{array}{ccc} & P_{n+1} & \\ \swarrow \varphi_{n+1} & \downarrow \varphi_n \circ d_{n+1} & \\ P'_{n+1} & \xrightarrow[d'_{n+1}]{} \text{Im}(d'_{n+1}) & \longrightarrow 0 \end{array}$$

It remains to show any two fillers are homotopic.

Note that  $\pi' \circ \varphi_0 = \varphi \circ \pi = \pi' \circ \varphi'_0$ , we have  $\varphi_0 - \varphi'_0$  take values in  $\text{Ker}(\pi') = \text{Im}(d'_1)$ .

Let  $D_0$  be the filler for

$$\begin{array}{ccc} & P_0 & \\ \swarrow D_0 & \downarrow \varphi_0 - \varphi'_0 & \\ P'_1 & \xrightarrow[d'_1]{} \text{Im}(d'_1) & \longrightarrow 0 \end{array}$$

If  $D_0, \dots, D_n$  have been defined, and we have  $\varphi_n - \varphi'_n = d'_{n+1} D_n + D_{n-1} d_n$ , so that

$$d'_{n+1} \circ (\varphi_{n+1} - \varphi'_{n+1} - D_n \circ d_{n+1}) = (\varphi_n - \varphi'_n - d'_{n+1} \circ D_n) \circ d_{n+1} = D_{n-1} \circ d_n \circ d_{n+1} = 0$$

Then  $\text{Im}(\varphi_{n+1} - \varphi'_{n+1} - D_n \circ d_{n+1}) \subset \text{Ker}(d'_{n+1}) = \text{Im}(d'_{n+2})$ , we can denote  $D_n$  as a filler for

$$\begin{array}{ccc} & P_{n+1} & \\ \swarrow D_{n+1} & \downarrow \varphi_{n+1} - \varphi'_{n+1} - D_n \circ d_{n+1} & \\ P'_{n+2} & \xrightarrow{d'_{n+2}} \text{Im}(d'_{n+2}) \longrightarrow 0 & \end{array}$$

□

**定义 3.9.** Let  $A \in {}_R M$ . For any projective resolution of  $B$ , we have a complex sequence

$$\cdots \rightarrow A \otimes P_{n+1} \xrightarrow{i_A \otimes d_{n+1}} A \otimes P_n \xrightarrow{i_A \otimes d_n} \cdots \rightarrow A \otimes P_1 \xrightarrow{i_A \otimes d_1} A \otimes P_0 \xrightarrow{A \otimes d_0} 0$$

Let  $\text{Tor}_n(A, B)$  denotes the  $n$ th homology of this complex, i.e.  $\text{Ker}(A \otimes d_n) / \text{Im}(i_A \otimes d_{n+1})$ .

**注 3.10.** 这里  $d_0$  不是  $\pi$ , 而是 0。可以看到这里删掉了  $A \otimes B$ 。

**命题 3.11.** Up to isomorphism, the homology is independent of the choice of projective resolution.

**证明.** Using 3.8 twice, we have

$$\begin{array}{ccccccccccccccc} \cdots & \longrightarrow & P_{n+1} & \xrightarrow{d_{n+1}} & P_n & \xrightarrow{d_n} & \cdots & \longrightarrow & P_1 & \xrightarrow{d_1} & P_0 & \xrightarrow{\pi} & B & \longrightarrow & 0 \\ & & \downarrow \varphi_{n+1} & & \downarrow \varphi_n & & & & \downarrow \varphi_1 & & \downarrow \varphi_0 & & \downarrow i_B & & \\ \cdots & \longrightarrow & P'_{n+1} & \xrightarrow{d'_{n+1}} & P'_n & \xrightarrow{d'_n} & \cdots & \longrightarrow & P'_1 & \xrightarrow{d'_1} & P'_0 & \xrightarrow{\pi'} & B & \longrightarrow & 0 \\ & & \downarrow \psi_{n+1} & & \downarrow \psi_n & & & & \downarrow \psi_1 & & \downarrow \psi_0 & & \downarrow i_B & & \\ \cdots & \longrightarrow & P_{n+1} & \xrightarrow{d_{n+1}} & P_n & \xrightarrow{d_n} & \cdots & \longrightarrow & P_1 & \xrightarrow{d_1} & P_0 & \xrightarrow{\pi} & B & \longrightarrow & 0 \end{array}$$

Then  $\psi_n \circ \varphi_n$  is homotopic to  $i_{P_n}$ , so the homomorphism between the homological groups  $(i_A \otimes \varphi_n) * \circ (i_A \otimes \psi_n) * = \text{identity}$ . Then the  $n$ th homological groups are isomorphism. □

**命题 3.12.**  $\text{Tor}_n(A, \bullet)$  is a covariant functor from  ${}_R M$  to  $\mathbf{Ab}$ . Also this functor is additive.

**证明.** 事实上, 对  $\varphi \in \text{Hom}(B, B')$ , 诱导了  $A \otimes \varphi_n \in \text{Hom}(\text{Tor}_n(A, B), \text{Tor}_n(A, B'))$ . □

**命题 3.13.**  $\text{Tor}_n(\bullet, B)$  也是一个加性函子, 事实上, 对  $f \in \text{Hom}(A, A')$ , 我们有交换图表

$$\begin{array}{ccccccccccccccc} \cdots & \longrightarrow & A \otimes P_{n+1} & \xrightarrow{i_A \otimes d_{n+1}} & A \otimes P_n & \xrightarrow{i_A \otimes d_n} & \cdots & \longrightarrow & A \otimes P_1 & \xrightarrow{i_A \otimes d_1} & A \otimes P_0 & \xrightarrow{i_A \otimes d_0} & 0 \\ & & \downarrow f \otimes i_{P_{n+1}} & & \downarrow f \otimes i_{P_n} & & & & \downarrow f \otimes i_{P_1} & & \downarrow f \otimes i_{P_0} & & \\ \cdots & \longrightarrow & A' \otimes P_{n+1} & \xrightarrow{i_{A'} \otimes d_{n+1}} & A' \otimes P_n & \xrightarrow{i_{A'} \otimes d_n} & \cdots & \longrightarrow & A' \otimes P_1 & \xrightarrow{i_{A'} \otimes d_1} & A' \otimes P_0 & \xrightarrow{i_{A'} \otimes d_0} & 0 \end{array}$$

若记  $f$  诱导的  $\text{Tor}_n(A, B)$  到  $\text{Tor}_n(A', B)$  的同态为  $\text{Tor}_n(f, B)$  (依前面的记号应为  $(f \otimes i_{p_n}) *$ ), 那么有  $\text{Tor}(f, B)$  不依赖于  $B$  的投射分解的选择。

**定义 3.14.** If  $C \in {}_R M$ , apply  $\text{Hom}_R(\cdot, C)$  to the chosen projective resolution of  $B$ , yielding

$$\cdots \leftarrow \text{Hom}(P_{n+1}, C) \xleftarrow{(d_{n+1})^* \triangleq \text{Hom}(d_{n+1}, C)} \text{Hom}(d_n, C) \leftarrow \cdots \xleftarrow{\text{Hom}(d_1, C)} \text{Hom}(P_0, C) \xleftarrow{\text{Hom}(d_0, C)} 0$$

with  $\text{Hom}(B, C)$  deleted as before. This is also a complex, the  $n$ th homology of it is called  $\text{Ext}^n(B, C)$ .

**命题 3.15.** 与 Tor 函子的情况相似,  $\text{Ext}$  函子也不依赖于投射分解的选取。

**命题 3.16.** If  $A \in M_R, B \in {}_R M, C \in {}_R M$ , then

1.  $\text{Tor}_0(A, B) \cong A \otimes B$
2.  $\text{Ext}^0(B, C) \cong \text{Hom}(B, C)$
3.  $\text{Tor}_n(A, B) = 0 (n \geq 1)$  if  $A$  is flat or  $B$  is projective
4.  $\text{Ext}^n(B, C) = 0 (n \geq 1)$  if  $B$  is projective or  $C$  is injective

**证明.** 1. Since  $A \otimes \cdot$  is right exact, then we have exact sequence

$$A \otimes P_1 \xleftarrow{i_A \otimes d_1} A \otimes P_0 \xleftarrow{i_A \otimes \pi} A \otimes B \leftarrow 0$$

Hence  $\text{Tor}_0(A, B) = \text{Ker}(A \otimes d_0) / \text{Im}(A \otimes d_1) = A \otimes P_0 / \text{Ker}(A \otimes \pi) \cong \text{Im}(A \otimes \pi) = A \otimes B$ .

2. The proof is similar to 1.

3. If  $A$  is flat, then  $A \otimes P_{n+1} \rightarrow A \otimes P_n \rightarrow A \otimes P_{n-1}$  is exact since  $A \otimes$  is an exact functor. Hence  $\text{Tor}_n(A, B) = 0$ .

If  $B$  is projective, then

$$\cdots \rightarrow 0 \rightarrow \cdots \rightarrow 0 \rightarrow B \rightarrow B \rightarrow 0$$

is a projective resolution of  $B$ . Applying  $A \otimes$  and deleting the  $A \otimes B$  we have sequence

$$\cdots \rightarrow 0 \rightarrow \cdots \rightarrow 0 \rightarrow A \otimes B \rightarrow 0$$

Hence  $\text{Tor}_n(A, B) = 0$  for every  $n > 0$ .

4. The proof is similar to 3. □

## 3.2 Long Exact Sequences

**定义 3.17.** A chain complex will denote a complex  $\mathcal{C} = \langle C_i, d_i \rangle$  of Abelian groups, with  $d_i : C_i \rightarrow C_{i-1}$  and with  $i$  coming in from  $\infty$ .

$$\cdots \rightarrow C_{i+1} \rightarrow C_i \rightarrow C_{i-1} \rightarrow \cdots$$

**定义 3.18.** A cochain complex  $\langle C_i, \partial_i \rangle$  is a complex where  $\partial : C_{i-1} \rightarrow C_i$ . We can get a chain complex by replacing  $i$  with  $-i$  and adjusting the subscript of  $\partial$ .

**定义 3.19.** If  $\mathcal{C} = \langle C_i, d_i \rangle$  and  $\mathcal{C}' = \langle C'_i, d'_i \rangle$  are chain complexes, then a morphism  $\varphi = \langle \varphi_i \rangle$  from  $\mathcal{C}$  to  $\mathcal{C}'$  is a sequence of homomorphism  $\varphi_i : C_i \rightarrow C'_i$  such that



$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & C_i & \xrightarrow{d_i} & C_{i-1} & \longrightarrow & \cdots \\
 & & \downarrow \varphi_i & & \downarrow \varphi'_i & & \\
 \cdots & \longrightarrow & C'_i & \xrightarrow{d'_i} & C'_{i-1} & \longrightarrow & \cdots
 \end{array}$$

commutes. A morphism of chain complexes is called a chain map. Then we get a definition of the category **Ch**. The  $n$ th homology  $H_n$  is now a additive covariant functor from **Ch** to **Ab**.

**定义 3.20.** A short exact sequence of chain complexes

$$0 \rightarrow \mathcal{C} \xrightarrow{\varphi} \mathcal{C}' \xrightarrow{\psi} \mathcal{C}'' \rightarrow 0$$

is a commutative diagram

$$\begin{array}{ccccccc}
 \vdots & & \vdots & & \vdots & & \\
 \downarrow & & \downarrow & & \downarrow & & \\
 0 \longrightarrow & C_i & \xrightarrow{\varphi_i} & C'_i & \xrightarrow{\psi_i} & C''_i & \longrightarrow 0 \\
 & \downarrow d_i & & \downarrow d'_i & & \downarrow d''_i & \\
 0 \longrightarrow & C_{i-1} & \xrightarrow{\varphi_{i-1}} & C'_{i-1} & \xrightarrow{\psi_{i-1}} & C''_{i-1} & \longrightarrow 0 \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \vdots & & \vdots & & \vdots & 
 \end{array}$$

with every row is exact.

**定理 3.21.** Suppose

$$0 \rightarrow \mathcal{C} \xrightarrow{\varphi} \mathcal{C}' \xrightarrow{\psi} \mathcal{C}'' \rightarrow 0$$

is a short exact sequence of chain complexes. Then there is a sequence of maps  $\delta_n : H_n(\mathcal{C}'') \rightarrow H_{n-1}(\mathcal{C})$  such that

$$\cdots \rightarrow H_{n+1}(\mathcal{C}'') \xrightarrow{\delta_{n+1}} H_n(\mathcal{C}) \xrightarrow{H_n(\varphi)} H_n(\mathcal{C}') \xrightarrow{H_n(\psi)} H_n(\mathcal{C}'') \xrightarrow{\delta_n} \cdots$$

is exact. The maps  $\delta_n$  are called connecting homomorphisms. The sequence of maps is also natural, in that if

$$\begin{array}{ccccccc}
 0 \longrightarrow & \mathcal{C} & \xrightarrow{\varphi} & \mathcal{C}' & \xrightarrow{\psi} & \mathcal{C}'' & \longrightarrow 0 \\
 & \downarrow \mathbf{f} & & \downarrow \mathbf{g} & & \downarrow \mathbf{h} & \\
 0 \longrightarrow & \hat{\mathcal{C}} & \xrightarrow{\hat{\varphi}} & \hat{\mathcal{C}}' & \xrightarrow{\hat{\psi}} & \hat{\mathcal{C}}'' & \longrightarrow 0
 \end{array}$$

is commutative (in **Ch**) with exact rows, then for all  $n$ ,

$$\begin{array}{ccccccc}
 \cdots & \longrightarrow & H_n(\mathcal{C}'') & \xrightarrow{\delta_n} & H_{n-1}(\mathcal{C}) & \longrightarrow & \cdots \\
 & & \downarrow H_n(\mathbf{h}) & & \downarrow H_{n-1}(\mathbf{f}) & & \\
 \cdots & \longrightarrow & H_n(\hat{\mathcal{C}}'') & \xrightarrow{\hat{\delta}_n} & H_{n-1}(\hat{\mathcal{C}}) & \longrightarrow & \cdots
 \end{array}$$

commutes.

证明. 首先给出  $\delta_n$  的定义。

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 & \longrightarrow & C_n & \xrightarrow{\varphi_n} & C'_n & \xrightarrow{\psi_n} & C''_n \longrightarrow 0 \\
 & & \downarrow d_n & & \downarrow d'_n & & \downarrow d''_n \\
 0 & \longrightarrow & C_{n-1} & \xrightarrow{\varphi_{n-1}} & C'_{n-1} & \xrightarrow{\psi_{n-1}} & C''_{n-1} \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & \vdots & & \vdots & & \vdots
 \end{array}$$

设  $x \in C''_n$ , 且  $x \in \text{Ker}(d''_n)$ 。由于  $\psi_n$  是满射, 从而存在  $y \in C'_n$  使得  $\psi_n(y) = x$ , 于是  $\psi_{n-1}(d'_n(y)) = d''_n(\psi_n(y)) = d''_n(x) = 0$ , 即  $d'_n(y) \in \text{Ker}(\psi_{n-1}) = \text{Im}(\varphi_{n-1})$ , 由于  $\varphi_{n-1}$  是单射, 从而存在唯一的  $z \in C_{n-1}$  使得  $\varphi_{n-1}(z) = d'_n(y)$ ,  $z = \varphi_{n-1}^{-1}(d'_n(y))$ 。记

$$\delta_n : H_n(C'') \rightarrow H_{n-1}(C)$$

$$\delta_n(x + \text{Im}(d''_{n+1})) = z + \text{Im}(d_n)$$

接下来需要几步验证:

(1)  $z + \text{Im}(d_n)$  不依赖  $y$  的选取。如果  $y(y') \mapsto x + \text{Im}(d''_{n+1})$  且  $\varphi_{n-1}^{-1}(d'_n(y)) = z, \varphi_{n-1}^{-1}(d'_n(y')) = z'$ 。

那么  $\psi_n(y - y') \in \text{Im}(d''_{n+1})$ , 这表明存在  $a$  使得  $d''_{n+1}(a) = \psi_n(y - y')$ 。又  $\psi_{n+1}$  是满射, 从而存在  $b$  使得  $d''_{n+1}(\psi_{n+1}(b)) = \psi_n(y - y')$ 。于是  $\psi_n(d'_{n+1}(b)) = d''_{n+1}(\psi_{n+1}(b)) = \psi_n(y - y')$ , 这样  $y - y' - d'_{n+1}(b) \in \text{Ker}(\psi_n) = \text{Im}(\varphi_n)$ 。即存在  $c$  使得  $y - y' - d'_{n+1}(b) = \varphi_n(c)$ 。则  $\varphi_{n-1}(d_n(c)) = d'_n(\varphi_n(c)) = d'_n(y - y' - d'_{n+1}(b)) = d'_n(y - y')$  (因为  $d'_n \circ d'_{n+1} = 0$ )。于是  $d_n(c) = \varphi_{n-1}^{-1}(d'_n(y - y')) = z - z' \in \text{Im}(d_n)$ , 这表明  $z + \text{Im}(d_n) = z' + \text{Im}(d_n)$ 。

(2)  $z = \delta_n(x) \in \text{Ker}(d_{n-1})$ 。

这是因为  $\varphi_{n-2}(d_{n-1}(z)) = d'_{n-1}(\varphi_{n-1}(z)) = d'_{n-1}(d'_n(y)) = 0$ 。

(3)  $\delta_n$  是一个同态。

如果  $x \in \text{Im}(d''_{n+1})$ , 在 (1) 中已经证明如果  $y \mapsto 0 + \text{Im}(d''_{n+1})$ , 那么  $z = \varphi_{n-1}^{-1}(d'_n(y)) \in \text{Im}(d_n)$ 。这表明  $\delta_n$  把零元映到零元, 而  $\delta_n$  显然是保加性的, 从而  $\delta_n$  是群同态。

接下来验证

$$\cdots \rightarrow H_{n+1}(C'') \xrightarrow{\delta_{n+1}} H_n(C) \xrightarrow{H_n(\varphi)} H_n(C') \xrightarrow{H_n(\psi)} H_n(C'') \xrightarrow{\delta_n} \cdots$$

的正合性。

(4)  $\text{Im}(H_n(\psi)) \subset \text{Ker}(\delta_n)$

依然沿用前面的记号, 不同的是, 如果  $x + \text{Im}(d''_{n+1}) \in \text{Im}(H_n(\psi))$ , 那么有  $y \in \text{Ker}(d'_n)$ , 即  $y + \text{Im}(d'_{n+1}) \in H_n(C')$ , 此时  $H_n(\psi)(y + \text{Im}(d'_{n+1})) = x + \text{Im}(d''_{n+1})$ 。考虑到  $0 = d'_n(y) = \varphi_{n-1}(z)$ , 从而有  $z = 0$ , 即  $\delta_n(x + \text{Im}(d''_{n+1})) = 0$ 。

(5)  $\text{Im}(H_n(\psi)) \supset \text{Ker}(\delta_n)$

如果  $\delta_n(x + \text{Im}(d''_{n+1})) = 0$ , 即  $z \in \text{Im}(d_n)$ , 那么存在  $t$  使得  $z = d_n(t)$ 。从而  $d'_n(y) = \varphi_{n-1}(z) = \varphi_{n-1}(d_n(t)) = d'_n(\varphi_n(t))$ 。从而  $d'_n(y - \varphi_n(t)) = 0$ 。从而  $y - \varphi_n(t) \in \text{Ker}(d'_n)$ , 这表明  $y - \varphi_n(t) + \text{Im}(d'_{n+1}) \in H_n(C')$ , 也就是说  $\psi_n(y - \varphi_n(t) + \text{Im}(d'_{n+1})) \in \text{Im}(H_n(\psi))$ 。而可以看出  $\psi_n(y - \varphi_n(t)) = x$ , 从而  $x + \text{Im}(d''_{n+1}) \in \text{Im}(H_n(\psi))$ 。

$$(6) \text{Ker}(H_{n-1}(\varphi)) \supset \text{Im}(\delta_n)$$

只需证明  $\varphi_{n-1}(z + \text{Im}(d_n)) = \text{Im}(d'_n)$ , 这是显然的, 因为  $\varphi_{n-1}(z) = d'_n(y) \in \text{Im}(d'_n)$ 。

$$(7) \text{Ker}(H_{n-1}(\varphi)) \subset \text{Im}(\delta_n)$$

如果  $\varphi_{n-1}(z + \text{Im}(d_n)) = \text{Im}(d'_n)$ , 即  $\varphi_{n-1}(z) = d'_n(y')$ 。记  $\psi_n(y) = x$ , 如果  $x \in \text{Ker}(d''_n)$ , 那么根据  $\delta_n$  的构造有  $\delta_n(x) = z$ 。而  $x \in \text{Ker}(d''_n)$  是因为  $d''_n(x) = d''_n(\psi_n(y)) = \psi_{n-1}(d'_n(y)) = \psi_{n-1}(\varphi_{n-1}(z)) = 0$ 。□

**定理 3.22.** Suppose  $0 \rightarrow A \rightarrow A' \rightarrow A'' \rightarrow 0$  is exact, then for  $B \in {}_R M$ , there is a long exact sequence

$$\cdots \rightarrow \text{Tor}_{n+1}(A'', B) \xrightarrow{\delta_{n+1}} \text{Tor}_n(A, B) \rightarrow \text{Tor}_n(A', B) \rightarrow \text{Tor}_n(A'', B) \xrightarrow{\delta_n} \cdots \rightarrow \text{Tor}_0(A'', B) \rightarrow 0$$

证明. 对  $B$  的投射分解  $\langle P_i, d_i \rangle$  应用 3.21, 由于  $P_i$  是投射的, 由 2.34 是平坦的, 所以

$$0 \rightarrow A \otimes P_n \rightarrow A' \otimes P_n \rightarrow A'' \otimes P_n \rightarrow 0$$

是正合序列。□

**定理 3.23.** Suppose  $0 \rightarrow C \rightarrow C' \rightarrow C'' \rightarrow 0$  is exact, then for  $B \in {}_R M$ , there is a long exact sequence

$$0 \rightarrow \text{Ext}^0(B, C) \rightarrow \text{Ext}^0(B, C') \rightarrow \text{Ext}^0(B, C'') \rightarrow \text{Ext}^1(B, C) \rightarrow \cdots$$

证明. 对  $B$  的投射分解  $\langle P_i, d_i \rangle$  应用 3.21, 由于  $P_i$  是投射的, 所以

$$0 \rightarrow \text{Hom}(P_n, C) \rightarrow \text{Hom}(P_n, C') \rightarrow \text{Hom}(P_n, C'') \rightarrow 0$$

是正合序列。□

**推论 3.24.** If  $A'$  is flat, then  $\text{Tor}_n(A', B) = 0, \forall n \geq 1$ , then  $0 \rightarrow \text{Tor}_{n+1}(A'', B) \rightarrow \text{Tor}_n(A, B) \rightarrow 0$  is exact, i.e.  $\text{Tor}_{n+1}(A'', B) \cong \text{Tor}_n(A, B) \forall n \geq 1$ .

**推论 3.25.** Similarly, if  $C'$  is injective, then  $\text{Ext}^n(B, C'') \cong \text{Ext}^{n+1}(B, C) \forall n \geq 1$ .

**推论 3.26.** Suppose  $B \in {}_R M$ , and suppose  $\text{Tor}_1(R/I, B) = 0$  for every finitely generated right ideal  $I$ . Then  $B$  is flat.

证明. 考虑正合列  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$ , 则  $0 = \text{Tor}_1(R/I, B) \rightarrow \text{Tor}_0(I, B) = I \otimes B \rightarrow \text{Tor}_0(R, B) = R \otimes B \cong B$  是正合的。这表明  $I \otimes B \rightarrow IB$  是单射。根据第二章习题 11,  $B$  是平坦的。□

**推论 3.27.** Suppose  $B \in {}_R M$ , the following are equivalent:

- $B$  is projective
- For all  $C \in {}_R M$  and  $n \geq 1$ ,  $\text{Ext}^n(B, C) = 0$
- For all  $C \in {}_R M$ ,  $\text{Ext}^1(B, C) = 0$

证明. 3.16已经给出了  $1 \Rightarrow 2$ , 而  $2 \Rightarrow 3$  是显然的。

如果 3. 成立, 那么类似的有  $0 \rightarrow \text{Hom}(B, C) \rightarrow \text{Hom}(B, C') \rightarrow \text{Hom}(B, C'') \rightarrow \text{Ext}^1(B, C) = 0$  正合。这就是投射函子的定义。  $\square$

### 3.3 Flat Resolution and Injective Resolution

**定义 3.28.** A flat resolution  $\langle F_i, d_i \rangle$  of  $A \in {}_R M$  is an exact sequence

$$\cdots \rightarrow F_n \xrightarrow{d_n} F_{n-1} \rightarrow \cdots \rightarrow F_0 \xrightarrow{\pi} A \rightarrow 0$$

where each  $F_n$  is flat. Every projective resolution is a flat resolution.

**引理 3.29.** Suppose  $C_{ij}, d_{ij}, \partial_{ij}$  form a commutative array in  $\mathbf{Ab}$  (with rows and columns being complexes)

$$\begin{array}{ccccccc} & \vdots & & \vdots & & \vdots & & \vdots \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & C_{3,3} & \xrightarrow{d_{3,3}} & C_{3,2} & \xrightarrow{d_{3,2}} & C_{3,1} & \xrightarrow{d_{3,1}} & C_{3,0} & \xrightarrow{d_{3,0}} & 0 \\ & & \downarrow \partial_{3,3} & & \downarrow \partial_{3,2} & & \downarrow \partial_{3,1} & & \downarrow \partial_{3,0} & & \\ \cdots & \longrightarrow & C_{2,3} & \xrightarrow{d_{2,3}} & C_{2,2} & \xrightarrow{d_{2,2}} & C_{2,1} & \xrightarrow{d_{2,1}} & C_{2,0} & \xrightarrow{d_{2,0}} & 0 \\ & & \downarrow \partial_{2,3} & & \downarrow \partial_{2,2} & & \downarrow \partial_{2,1} & & \downarrow \partial_{2,0} & & \\ \cdots & \longrightarrow & C_{1,3} & \xrightarrow{d_{1,3}} & C_{1,2} & \xrightarrow{d_{1,2}} & C_{1,1} & \xrightarrow{d_{1,1}} & C_{1,0} & \xrightarrow{d_{1,0}} & 0 \\ & & \downarrow \partial_{1,3} & & \downarrow \partial_{1,2} & & \downarrow \partial_{1,1} & & \downarrow \partial_{1,0} & & \\ \cdots & \longrightarrow & C_{0,3} & \xrightarrow{d_{0,3}} & C_{0,2} & \xrightarrow{d_{0,2}} & C_{0,1} & \xrightarrow{d_{0,1}} & C_{0,0} & \xrightarrow{d_{0,0}} & 0 \\ & & \downarrow \partial_{0,3} & & \downarrow \partial_{0,2} & & \downarrow \partial_{0,1} & & \downarrow \partial_{0,0} & & \\ & & 0 & & 0 & & 0 & & 0 & & \end{array}$$

with all rows but the bottom exact, and all columns but the rightmost exact. Then the  $n$ th homology of the bottom row is isomorphism to the  $n$ th homology of the rightmost column.

证明. 首先, 定义  $C$  为  $C_{i,j}$  的无交并,  $\partial_{ij}$  和  $d_{ij}$  都可以延拓至  $C$  上。若  $x \in C_{ij}$ , 则定义  $\partial(x) = \partial_{ij}(x)$ ,  $d(x) = d_{ij}(x)$ 。

定义  $Z_n \subset \bigoplus_{i=1}^n C_{i,n-i+1}$ , 且  $(y_1, \cdots, y_n) \in Z_n \iff d(y_i) = \partial(d_{i+1})$ , 即  $(y_1, \cdots, y_n)$  是  $C_{1,n}$  到  $C_{n,1}$  中阶梯型的元素构成。

$$\begin{array}{c}
 \dots \\
 y_3 \xrightarrow{d} \\
 \downarrow \partial \\
 y_2 \xrightarrow{d} \\
 \downarrow \partial \\
 y_1 \xrightarrow{d}
 \end{array}$$

不难推出, 当  $n \geq 2$  时, 如果  $(y_1, \dots, y_n) \in Z_n$ , 那么  $\partial_{1,n}(y_1) \in \text{Ker}(d_{0,n})$ 。

而如果  $x \in \text{Ker}(d_{0,n})$ , 那么由于  $\partial_{1,n}$  是满射, 从而存在  $y_1$  使得  $\partial_{1,n}(y_1) = x$ 。设  $d_{1,n}(y_1) = z$ , 那么  $\partial(z) = d(x) = 0$ 。从而  $z \in \text{Ker}(\partial_{1,n-1}) = \text{Im}(\partial_{2,n-1})$ , 即  $\exists y_2, \partial(y_2) = d(y_1)$ , 如此往下, 得到一组  $(y_1, \dots, y_n) \in Z_n$ 。

这样我们得到了一个  $Z_n$  到  $\text{Ker}(d_{0,n})$  的满射  $(y_1, \dots, y_n) \mapsto \partial_{1,n}(y_1)$ , 进而得到了  $Z_n$  到最底下一行的  $n$  阶同调群的满射  $f$ 。

现在考虑这个群的核。如果  $f(y_1, \dots, y_n) = 0$ , 即  $\partial_{1,n}(y_1) \in \text{Im}(d_{0,n+1})$ , 即存在  $a$  使得  $\partial_{1,n}(y_1) = d_{0,n+1}(a)$ , 由于  $\partial_{1,n+1}$  是满射, 从而存在  $y'_1 \in C_{1,n+1}$  使得,  $\partial_{1,n+1}(y'_1) = a$ 。此时  $\partial_{1,n}(d_{1,n+1}(y'_1)) = d_{0,n+1}(a) = \partial_{1,n}(y_1)$ , 即  $y_1 - d_{1,n+1}(y'_1) \in \text{Ker}(\partial_{1,n})$ 。由于除了最后一列外都是正合列以及  $n \geq 2$ , 故  $\text{Ker}(\partial_{1,n}) = \text{Im}(\partial_{2,n})$ 。即存在  $\partial_{2,n}(y'_2) = y_1 - d_{1,n+1}(y'_1)$ 。

接下来利用归纳法, 如果  $y_i = \partial(s) + d(t)$ , 那么  $\partial(y_{i+1}) = d(y_i) = d(\partial(s) + d(t)) = d(\partial(s)) = \partial(d(s))$ , 那么  $y_{i+1} - d(s) \in \text{Ker}(\partial_{i+1,n+i-1})$ , 同理由正合列, 从而  $y_{i+1} - d(s) = \partial(t')$ , 这样存在一系列  $(y'_1, \dots, y'_{n+1})$  使得  $d(y'_i) + \partial(y'_{i+1}) = y_i$ 。

而反过来, 如果存在一系列  $(y'_1, \dots, y'_{n+1})$  使得  $d(y'_i) + \partial(y'_{i+1}) = y_i$ , 那么  $d(y_i) = d(\partial(y'_{i+1})) = \partial(d(y'_{i+1})) = \partial(y_{i+1})$ , 即  $(y_1, \dots, y_n) \in Z_n$ , 且此时  $\partial(y_1) = \partial(d(y'_1)) = d(\partial(y'_1))$ , 即  $f(y_1, \dots, y_n) = 0$ 。

这样我们得到,  $f$  的核与一系列  $(y'_1, \dots, y'_{n+1})$  的对应。从而最下面一行的  $n$  阶同调群  $H_n \cong Z_n/B_n$ , 其中  $B_n$  表示  $Z_n$  中可以由  $(y'_1, \dots, y'_n)$  生成的。

而反过来, 最右面一列的同调群  $H'_n \cong Z'_n/B'_n$ , 其中  $Z'_n$  就是所有  $Z_n$  中的元素的反序  $(y_n, \dots, y_1)$ ,  $B'_n$  也是反序, 这样

$$H_n \cong H'_n (n \geq 2)$$

而  $n = 0, n = 1$  的情况很好验证。

$n = 0$  时,  $\text{Im}(d_{0,1}) = \text{Im}(\partial_{1,0})$  立得。

$n = 1$  时, 记  $Z_1 = \{y_1 \in C_{1,1} : \partial_{1,0}d_{1,1}(y_1) = 0\}$ , 同样此时有  $y_1 = d(z_1) + \partial(z_2)$ 。□

**推论 3.30.** 对  $B$  的投射分解  $P_i$  和  $A$  的平坦分解  $F_i$  构成的网格 (依然删去  $A \otimes B$ )

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & & \vdots \\
 & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 \cdots & \longrightarrow & F_2 \otimes P_2 & \xrightarrow{d_{3,3}} & F_2 \otimes P_1 & \xrightarrow{d_{3,2}} & F_2 \otimes P_0 & \xrightarrow{d_{3,1}} & F_2 \otimes B & \xrightarrow{d_{3,0}} & 0 \\
 & & \downarrow \partial_{3,3} & & \downarrow \partial_{3,2} & & \downarrow \partial_{3,1} & & \downarrow \partial_{3,0} & & \\
 \cdots & \longrightarrow & F_1 \otimes P_2 & \xrightarrow{d_{2,3}} & F_1 \otimes P_1 & \xrightarrow{d_{2,2}} & F_1 \otimes P_0 & \xrightarrow{d_{2,1}} & F_1 \otimes B & \xrightarrow{d_{2,0}} & 0 \\
 & & \downarrow \partial_{2,3} & & \downarrow \partial_{2,2} & & \downarrow \partial_{2,1} & & \downarrow \partial_{2,0} & & \\
 \cdots & \longrightarrow & F_0 \otimes P_2 & \xrightarrow{d_{1,3}} & F_0 \otimes P_1 & \xrightarrow{d_{1,2}} & F_0 \otimes P_0 & \xrightarrow{d_{1,1}} & F_0 \otimes B & \xrightarrow{d_{1,0}} & 0 \\
 & & \downarrow \partial_{1,3} & & \downarrow \partial_{1,2} & & \downarrow \partial_{1,1} & & \downarrow \partial_{1,0} & & \\
 \cdots & \longrightarrow & A \otimes P_2 & \xrightarrow{d_{0,3}} & A \otimes P_1 & \xrightarrow{d_{0,2}} & A \otimes P_0 & \xrightarrow{d_{0,1}} & 0 & \xrightarrow{d_{0,0}} & 0 \\
 & & \downarrow \partial_{0,3} & & \downarrow \partial_{0,2} & & \downarrow \partial_{0,1} & & \downarrow \partial_{0,0} & & \\
 & & 0 & & 0 & & 0 & & 0 & & 
 \end{array}$$

应用引理, 有  $\text{Tor}_n(A, B) \cong H_n(F_k \otimes B, d_k \otimes i_B)$ 。

**定义 3.31.** Suppose  $C \in {}_R M$ , an injective resolution  $\langle E_i, d_i \rangle$  of  $C$  is an exact sequence

$$0 \rightarrow C \xrightarrow{\iota} E_0 \xrightarrow{d_1} E_1 \xrightarrow{d_2} E_2 \xrightarrow{d_3} \cdots$$

**定理 3.32.** 完全相同地有  $\text{Ext}^n(B, C) = H_n(\text{Hom}(B, E_n), \text{Hom}(B, d_n))$ 。

**例 7.** We have  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Q}, \mathbb{Z}) \cong \mathbb{R}$  (as a group homomorphism), so  $\mathbb{Q}$  is not projective.

**证明.** By using the injective resolution of  $\mathbb{Z}$

$$0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Q} \rightarrow \mathbb{Q}/\mathbb{Z} \rightarrow 0 \rightarrow \cdots$$

we have

$$\text{Ext}_{\mathbb{Z}}^1(\mathbb{Q}, \mathbb{Z}) \cong \text{Hom}(\mathbb{Q}, \mathbb{Q}/\mathbb{Z}) / \text{Im}(f : \text{Hom}(\mathbb{Q}, \mathbb{Q}) \rightarrow \text{Hom}(\mathbb{Q}, \mathbb{Q}/\mathbb{Z})) = \text{Coker}(f)$$

$\text{Hom}(\mathbb{Q}, \mathbb{Q}/\mathbb{Z})$  is a vector space over  $\mathbb{Q}$ , with the same dimension of  $\mathbb{R}$ , a continuum.  $\square$

### 3.4 Consequences

**命题 3.33.** Suppose  $0 \rightarrow B \rightarrow B' \rightarrow B'' \rightarrow 0$  is a short exact sequence in  ${}_R M$ , and suppose  $C \in {}_R M$ . Then there is a long exact sequence:

$$0 \rightarrow \text{Ext}^0(B'', C) \rightarrow \text{Ext}^0(B', C) \rightarrow \text{Ext}^0(B, C) \xrightarrow{\delta_1} \text{Ext}^1(B'', C) \rightarrow \text{Ext}^1(B', C) \rightarrow \cdots$$

**证明.** 和前面两个长正合列的证明类似, 都是应用3.21。

取  $C$  的内射分解  $\langle E_i, d_i \rangle$ , 记  $0 \rightarrow \text{Hom}(B, E_0) \rightarrow \text{Hom}(B, E_1) \rightarrow \cdots$  为  $\mathcal{C}$ , 记  $0 \rightarrow \text{Hom}(B', E_0) \rightarrow \text{Hom}(B', E_1) \rightarrow \cdots$  为  $\mathcal{C}'$ , 记  $0 \rightarrow \text{Hom}(B'', E_0) \rightarrow \text{Hom}(B'', E_1) \rightarrow \cdots$  为  $\mathcal{C}''$ , 则有 chain complexes 的正合列

$$0 \rightarrow \mathcal{C}'' \rightarrow \mathcal{C}' \rightarrow \mathcal{C} \rightarrow 0$$

应用 3.21 再由  $H_n(\mathcal{C}) \cong \text{Ext}^n(B, C)$  可得命题结论。  $\square$

**推论 3.34.** If  $B'$  is projective, then  $\text{Ext}^n(B, C) \cong \text{Ext}^{n+1}(B'', C)$ .

**推论 3.35.** Suppose  $C \in {}_R M$ , the following are equivalent:

1.  $C$  is injective;
2.  $\text{Ext}^n(B, C) = 0$  for all  $B \in {}_R M$  and  $n \geq 1$ ;
3.  $\text{Ext}^1(R/I, C) = 0$  for all left ideals  $I$ ;

证明. 只需证  $3. \Rightarrow 1.$

由于  $0 \rightarrow R/I \rightarrow R \rightarrow I \rightarrow 0$  是正合序列, 从而

$$0 \rightarrow \text{Hom}(R/I, C) \rightarrow \text{Hom}(R, C) \rightarrow \text{Hom}(I, C) \rightarrow \text{Ext}^1(R/I, C) = 0$$

正合。这表明  $\text{Hom}(R, C) \rightarrow \text{Hom}(I, C)$  是满射。从而对任意  $f \in \text{Hom}(I, C)$ , 都可以提升到  $g \in \text{Hom}(R, C)$ ,  $g$  是  $f$  的原像。这样由 Bare's theorem 可得  $C$  是内射模。  $\square$

**定义 3.36.**  $R^{op}$  is the opposite to the ring  $R$ , with the same additive operation, but the multiplication is reversed:  $a \cdot b = ba$ .

**命题 3.37.**  $\text{Tor}_n^R(A, B) \cong \text{Tor}_n^{R^{op}}(B, A)$

证明. 当我们按定义计算  $\text{Tor}_n^{R^{op}}(B, A)$  时, 对  $A$  进行投射分解  $\langle E_n \rangle$ , 由于投射模是平坦模, 从而这是一个平坦分解。而我们有  $\text{Tor}_n^R(A, B) \cong H_n(E_n \otimes_R B, d_n \otimes_R i_B) = H_n(B \otimes_{R^{op}} E_n, i_B \otimes_{R^{op}} d_n) = \text{Tor}_n^{R^{op}}(B, A)$ 。  $\square$

**定理 3.38.** If  $0 \rightarrow B \rightarrow B' \rightarrow B'' \rightarrow 0$  is short exact, then there is a long exact sequence

$$\cdots \xrightarrow{\delta_{n+1}} \text{Tor}_n^R(A, B) \rightarrow \text{Tor}_n^R(A, B') \rightarrow \cdots \rightarrow \text{Tor}_0^R(A, B') \rightarrow \text{Tor}_0^R(A, B'') \rightarrow 0$$

**推论 3.39.** Suppose  $A \in {}_R M$ , the following are equivalent:

1.  $A$  is flat;
2.  $\text{Tor}_n^R(A, B) = 0$  for all  $B \in M_R$ ;
3.  $\text{Tor}_1^R(A, R/I) = 0$  for every finitely generated left ideal  $I$ ;

**推论 3.40.** Suppose  $B \in {}_R M$ , the following are equivalent:

1.  $B$  is flat;
2.  $\text{Tor}_n^R(A, B) = 0$  for all  $A \in M_R$ ;
3.  $\text{Tor}_1^R(R/J, B) = 0$  for every finitely generated right ideal  $J$ ;

### 3.5 Exercises

1. Compute  $\text{Tor}_n^{\mathbb{Z}_8}(\mathbb{Z}_4, \mathbb{Z}_4)$ .

解. Considering the projective resolution of  $\mathbb{Z}_4$ :

$$\cdots \rightarrow \mathbb{Z}_8 \xrightarrow{\times 4} \mathbb{Z}_8 \xrightarrow{\times 2} \mathbb{Z}_8 \xrightarrow{\times 4} \mathbb{Z}_8 \xrightarrow{\times 2} \mathbb{Z}_4 \rightarrow 0$$

we tensor it with  $\mathbb{Z}_4$  and delete the part that should be deleted, then we have:

$$\cdots \rightarrow \mathbb{Z}_4 \otimes \mathbb{Z}_8 \xrightarrow{\times 2} \mathbb{Z}_4 \otimes \mathbb{Z}_8 \xrightarrow{\times 4} \mathbb{Z}_4 \otimes \mathbb{Z}_8 \rightarrow 0$$

it's equivalent to

$$\cdots \rightarrow \mathbb{Z}_4 \rightarrow \mathbb{Z}_4 \rightarrow \mathbb{Z}_4 \rightarrow 0$$

Then  $\text{Tor}_0 = \mathbb{Z}_4 \otimes \mathbb{Z}_4 \cong \mathbb{Z}_4$ , and  $\text{Tor}_{2n} = \mathbb{Z}_2, \text{Tor}_{2n-1} = \mathbb{Z}_2, n \geq 1$ . □

3. Suppose  $\langle F_n, d_n \rangle$  is a flat resolution of  $A$ . Show that the  $n$ th homology

$$\cdots \rightarrow F_2 \otimes B \rightarrow F_1 \otimes B \rightarrow F_0 \otimes B \rightarrow 0$$

is isomorphic to  $\text{Tor}_n(A, B)$  by the following steps:

1. Verify the case  $n = 0$ .
2. Verify the case  $n = 1$  by the following device: Set  $K = \text{Im}(d_1) \subset F_0$ . One has a short exact sequence  $0 \rightarrow K \rightarrow F_0 \rightarrow A \rightarrow 0$ , to which 3.22 applies. One also has  $F_2 \rightarrow F_1 \rightarrow K \rightarrow 0$  exact, and  $\otimes B$  is right exact. Play these off against each other.
3. Verify the induction step  $n \rightarrow n+1$ , using 3.22 again, along with the fact that  $\cdots \rightarrow F_2 \rightarrow F_1 \rightarrow K \rightarrow 0$  is a flat resolution of  $K$ .

证明. 1. This is the case  $n = 1$  in 3.29.

2.  $F_2 \otimes B \rightarrow F_1 \otimes B \rightarrow K \otimes B \rightarrow 0$  is exact. Then  $H_1 = \text{Ker}(F_1 \otimes B \rightarrow F_0 \otimes B) / \text{Im}(F_2 \otimes B \rightarrow F_1 \otimes B) = \text{Ker}(F_1 \otimes B \rightarrow F_0 \otimes B) / \text{Ker}(F_1 \otimes B \rightarrow K \otimes B)$ .

Since  $0 \rightarrow K \rightarrow F_0 \rightarrow A \rightarrow 0$  is exact, from 3.22 we have  $\text{Tor}_1(F_0, B) \rightarrow \text{Tor}_1(A, B) \rightarrow \text{Tor}_0(K, B) \rightarrow \text{Tor}_0(F_0, B)$  is exact.  $F_0$  is flat deduces that  $\text{Tor}_1(F_0, B) = 0$ , hence  $\text{Tor}_1(A, B) \cong \text{Ker}(K \otimes B \rightarrow F_0 \otimes B) = \text{Ker}(F_1 \otimes B \rightarrow F_0 \otimes B) / \text{Ker}(F_1 \otimes B \rightarrow K \otimes B) = H_1$ .

$$\begin{array}{ccc}
 F_1 \otimes B & \longrightarrow & F_0 \otimes B \\
 & \searrow \quad \nearrow & \\
 & K \otimes B &
 \end{array}$$

3. For the induction step  $n \rightarrow n+1$ ,  $n \geq 1$ , similarly we have  $\text{Tor}_{n+1}(F_0, B) = 0 \rightarrow \text{Tor}_{n+1}(A, B) \rightarrow \text{Tor}_n(K, B) \rightarrow 0$  is exact. Then  $\text{Tor}_{n+1}(A, B) \cong \text{Tor}_n(K, B)$ .

Considering that  $\cdots \rightarrow F_2 \rightarrow F_1 \rightarrow K \rightarrow 0$  is a flat resolution of  $K$  (note that there's no  $F_0$  here), hence we obtain  $\text{Tor}_n(K, B) \cong H_{n+1}(= \text{Im}(F_{n+2} \otimes B \rightarrow F_{n+1} \otimes B) / \text{Ker}(F_{n+1} \otimes B \rightarrow F_n \otimes B))$  by the induction hypothesis. Then  $H_{n+1} \cong \text{Tor}_{n+1}(A, B)$ . □



7. Suppose  $\langle E_i, d_i \rangle$  is an injective resolution of  $C \in {}_R M$ ,  $\langle E'_i, d'_i \rangle$  is an injective resolution of  $C'$ , and  $\varphi \in \text{Hom}(C, C')$ . Show that fillers  $\varphi_n$  exist for

$$\begin{array}{ccccccccccc}
 0 & \longrightarrow & C & \xrightarrow{\iota} & E_0 & \xrightarrow{d_1} & E_1 & \longrightarrow & \cdots & \xrightarrow{d_n} & E_n & \xrightarrow{d_{n+1}} & E_{n+1} & \longrightarrow & \cdots \\
 & & \downarrow \varphi & & \downarrow \varphi_0 & & \downarrow \varphi_1 & & & & \downarrow \varphi_n & & \downarrow \varphi_{n+1} & & \\
 0 & \longrightarrow & C' & \xrightarrow{\iota'} & E'_0 & \xrightarrow{d'_1} & E'_1 & \longrightarrow & \cdots & \xrightarrow{d'_n} & E'_n & \xrightarrow{d'_{n+1}} & E'_{n+1} & \longrightarrow & \cdots
 \end{array}$$

and that any two fillers are homotopic.

证明. Since  $E'$  is injective, then  $\varphi_0$  is a filler for

$$\begin{array}{ccc}
 0 & \longrightarrow & C \xrightarrow{\iota} E_0 \\
 & & \downarrow \varphi \\
 & & E'_0
 \end{array}$$

$\iota' \circ \varphi$  (vertical arrow from  $C$  to  $E'_0$ )  
 $\varphi_0$  (diagonal arrow from  $E_0$  to  $E'_0$ )

If  $\varphi_0 \cdots \varphi_n$  has been defined, there exists a filler  $\varphi_{n+1}$  for

$$\begin{array}{ccc}
 0 \longrightarrow \text{Im}(d_{n+1}) \cong E_n / \text{Ker}(d_{n+1}) & \hookrightarrow & E_{n+1} \\
 \downarrow d'_{n+1} \circ \varphi_n & & \searrow \varphi_{n+1} \\
 & & E'_{n+1}
 \end{array}$$

For any two fillers  $\{\varphi_n\}$  and  $\{\varphi'_n\}$ , since  $\varphi_0 \circ \iota = \iota' \circ \varphi = \varphi'_0 \circ \iota$ , we have  $\text{Ker}(d_1) = \text{Im}(\iota) \subset \text{Ker}(\varphi'_0 - \varphi_0)$ . Let  $\Delta_0$  denotes one of the fillers for

$$\begin{array}{ccc}
 0 \longrightarrow \text{Im}(d_1) \cong E_0 / \text{Ker}(d_1) & \hookrightarrow & E_1 \\
 \downarrow \varphi'_0 - \varphi_0 & & \searrow \Delta_0 \\
 & & E'_0
 \end{array}$$

Then  $\Delta_0 \circ d_1 = \varphi'_0 - \varphi_0$ .

If  $\Delta_0, \dots, \Delta_n$  has been defined, and  $\varphi'_n - \varphi_n = d'_n \circ D_{n-1} + D_n \circ d_{n+1}$ , then  $(\varphi'_{n+1} - \varphi_{n+1} - d'_{n+1} \circ D_n) \circ d_{n+1} = d'_{n+1} \circ (\varphi' - \varphi - D_n \circ d_{n+1}) = d'_{n+1} \circ (d'_n \circ D_{n-1}) = 0$ . We obtain  $\text{Ker}(d_{n+2}) = \text{Im}(d_{n+1}) \subset \text{Ker}(\varphi'_{n+1} - \varphi_{n+1} - d'_{n+1} \circ D_n)$ , let  $\Delta_{n+1}$  be a filler for

$$\begin{array}{ccc}
 0 \rightarrow \text{Im}(d_{n+2}) \cong E_{n+1} / \text{Ker}(d_{n+2}) & \hookrightarrow & E_{n+2} \\
 \downarrow \varphi'_{n+1} - \varphi_{n+1} & & \searrow \Delta_{n+1} \\
 & & E'_0
 \end{array}$$

Then  $\varphi_n$  and  $\varphi'_n$  are homotopic.  $\square$

8. Show that if  $\text{Ext}_R^1(B, C) = 0$ , then any short exact sequence  $0 \rightarrow C \rightarrow X \rightarrow B \rightarrow 0$  is split.

证明. Denote that  $0 \rightarrow C \xrightarrow{\varphi} X \xrightarrow{\psi} B \rightarrow 0$ .

Using 3.23 we can obtain  $0 \rightarrow \text{Ext}^0(B, C) \rightarrow \text{Ext}^0(B, X) \rightarrow \text{Ext}^0(B, B) \rightarrow \text{Ext}^1(B, C) = 0$  is exact. It's equivalent to  $0 \rightarrow \text{Hom}(B, C) \rightarrow \text{Hom}(B, X) \rightarrow \text{Hom}(B, B) \rightarrow 0$  is exact. Since  $\text{Hom}(B, X) \rightarrow \text{Hom}(B, B)$  is onto, there exists  $f \in \text{Hom}(B, X)$  such that  $f \mapsto i_B$ , that means,  $\psi \circ f = i_B$ .

Similarly using 3.33 we have exact sequence  $0 \rightarrow \text{Hom}(B, C) \rightarrow \text{Hom}(X, C) \rightarrow \text{Hom}(C, C) \rightarrow 0$ , and  $\text{Hom}(X, C) \rightarrow \text{Hom}(C, C)$  deduces that  $g \circ \varphi = i_C$  for some  $g \in \text{Hom}(X, C)$ . Let  $g'(x) = g(x) - g(f(\psi(x)))$ , then  $g'(\varphi(x)) = g(\varphi(x)) = i_C$  and  $g'(f(x)) = g(f(x)) - g(f(\psi(f(x)))) = 0$ .

The last step is to prove  $\varphi \circ g' + f \circ \psi = i_X$ . This is deduced easily by the exactness. Let  $T$  denote  $\varphi \circ g' + f \circ \psi$ , then  $x - T(x) \in \text{Ker}(\psi) = \text{Im}(\varphi)$ , that is,  $x - T(x) = \varphi(y)$  for some  $y \in C$ . Hence  $g'(x - T(x)) = y$ , i.e.  $y = g'(f(\psi(x))) = 0$ . So  $x = T(x)$ ,  $T = i_X$ .  $\square$

9. Suppose  $I$  is a left ideal and  $J$  is a right ideal. Show that

1.  $\text{Tor}_n(R/J, R/I) \cong \text{Tor}_{n-2}(J, I)$  for  $n > 2$ ;
2.  $\text{Tor}_2(R/J, R/I) \cong \text{Ker}(J \otimes I \rightarrow JI)$ ;
3.  $\text{Tor}_1(R/J, R/I) \cong (J \cap I)/(JI)$

证明. From exact sequence  $0 \rightarrow I \rightarrow R \rightarrow R/I \rightarrow 0$  and 3.38 we have  $0 = \text{Tor}_n(R/J, R) \rightarrow \text{Tor}_n(R/J, R/I) \rightarrow \text{Tor}_{n-1}(R/J, I) \rightarrow \text{Tor}_{n-1}(R/J, R)$  is exact.

If  $n > 2$ , we have  $\text{Tor}_n(R/J, R/I) \cong \text{Tor}_{n-1}(R/J, I)$ . By using 3.22 and the similar process, we can obtain  $\text{Tor}_{n-1}(R/J, I) \cong \text{Tor}_{n-2}(J, I)$ .

If  $n = 2$ ,  $0 \rightarrow \text{Tor}_1(R/J, I) \rightarrow \text{Tor}_0(J, I) \rightarrow \text{Tor}_0(R, J)$  is exact. Then  $\text{Tor}_1(R/J, I) \cong \text{Im}(\text{Tor}_1(R/J, I) \rightarrow \text{Tor}_0(J, I)) = \text{Ker}(\text{Tor}_0(J, I) \rightarrow \text{Tor}_0(R, J)) \cong \text{Ker}(J \otimes I \rightarrow J)$ . We have  $\text{Ker}(J \otimes I \rightarrow J) = \text{Ker}(J \otimes I \rightarrow JI)$ .

If  $n = 1$ ,  $\text{Tor}_1(R/J, R/I) \cong \text{Im}(\text{Tor}_1(R/J, R/I) \rightarrow (R/J) \otimes I) = \text{Ker}(R/J \otimes I \rightarrow R/J \otimes R) \cong (I/IJ \rightarrow R/J) = (J \cap I)/JI$ .  $\square$

10. Suppose  $B$  is an Abelian group. The torsion subgroup,  $T(B)$ , is the subgroup of  $B$  consisting of elements of finite order. Show that  $T(B) \cong \text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, B)$ .

证明. We have  $B/T(B)$  is torsion free, then it's flat. Considering the exact sequence  $0 \rightarrow T(B) \rightarrow B \rightarrow B/T(B) \rightarrow 0$  and using 3.38 we can obtain  $\text{Tor}_2^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, B/T(B)) = 0 \rightarrow \text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, T(B)) \rightarrow \text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, B) \rightarrow \text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, B/(T(B))) = 0$  is exact. Hence  $\text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, T(B)) \cong \text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, B)$

From chapter 2, exercise 12 we can obtain  $\mathbb{Q}$  is flat, then  $0 \leftarrow \mathbb{Q}/\mathbb{Z} \leftarrow \mathbb{Q} \leftarrow \mathbb{Z} \leftarrow 0 \leftarrow \dots$  is a flat resolution. By 3.30 we have  $\text{Tor}_1^{\mathbb{Z}}(\mathbb{Q}/\mathbb{Z}, T(B)) = \text{Ker}(\mathbb{Z} \otimes T(B) \rightarrow \mathbb{Q} \otimes T(B)) \cong \text{Ker}(T(B) \rightarrow \mathbb{Q} \otimes T(B))$ .

$\mathbb{Q} \otimes T(B)$  is generated by  $q \otimes t$ ,  $q \in \mathbb{Q}$ ,  $t \in T(B)$ , and there exists  $n \in \mathbb{Z}_+$  such that  $nt = 0$ . This deduces  $q \otimes t = q/n \otimes nt = 0$ , i.e.  $\mathbb{Q} \otimes T(B) = 0$ . Then  $\text{Ker}(T(B) \rightarrow \mathbb{Q} \otimes T(B)) = T(B)$   $\square$

11. Show that

1.  $\text{Ext}^n(\oplus B_i, C) \cong \prod \text{Ext}^n(B_i, C)$
2.  $\text{Ext}^n(B, \prod C_i) \cong \prod \text{Ext}^n(B, C_i)$
3.  $\text{Tor}_n(A, \oplus B_i) \cong \oplus \text{Tor}_n(A, B_i)$

证明. 1. Apply  $\text{Hom}(\oplus B_i, \bullet) \cong \prod \text{Hom}(B_i, \bullet)$  to an injective resolution of  $C$ .

2. Apply  $\text{Hom}(\bullet, \prod C_i) \cong \prod \text{Hom}(\bullet, C_i)$  to a projective resolution of  $B$ .

3. Apply  $\bullet \otimes (\oplus B_i) \cong \oplus (\bullet \otimes B_i)$  to a flat resolution of  $A$ .  $\square$

12. Suppose  $B_1$  and  $B_2$  are submodules of  $B \in {}_R M$ . Show that  $\forall C \in {}_R M$  there is a long exact sequence

$$0 \rightarrow \text{Hom}(B_1 + B_2, C) \rightarrow \text{Hom}(B_1, C) \oplus \text{Hom}(B_2, C) \rightarrow \text{Hom}(B_1 \cap B_2, C) \rightarrow \text{Ext}^1(B_1 + B_2, C) \rightarrow \cdots$$

证明. This is the corollary of last exercise (since  $0 \rightarrow B_1 \cap B_2 \rightarrow B_1 \otimes B_2 \rightarrow B_1 + B_2 \rightarrow 0$  is exact).  $\square$

## 4 Dimension Theory

### 4.1 Dimension Shifting

**命题 4.1.** If  $B \in {}_R M$ ,  $n \geq 1$ , and  $\text{Ext}^n(B, \bullet) \equiv 0$ , then  $\text{Ext}^k(B, \bullet) \equiv 0$  for all  $k \geq n$ .

证明. For any  $C \in {}_R M$ , imbedding  $C$  in an injective  $E$  yields  $\text{Ext}^{n+1}(B, C) \cong \text{Ext}^n(B, E/C) = 0$ .  $\square$

**定义 4.2.** We now define projective dimension, abbreviated  $\text{P-dim}$  :

$$\text{P-dim } B = \inf\{n \geq 0 : \text{Ext}^{n+1}(B, \bullet) \equiv 0\}$$

**推论 4.3.** If  $\text{P-dim } B = 0$ , then  $B$  is projective.

证明. Use 3.27.  $\square$

**命题 4.4.** If  $C \in {}_R M$ ,  $n \geq 1$ , and  $\text{Ext}^n(\bullet, C) \equiv 0$ , then  $\text{Ext}^k(\bullet, C) \equiv 0$  for all  $k \geq n$ .

**定义 4.5.** We now define injective dimension, abbreviate  $\text{I-dim}$  :

$$\text{I-dim } C = \inf\{n \geq 0 : \text{Ext}^{n+1}(\bullet, C) \equiv 0\}$$

**命题 4.6.** If  $B \in {}_R M$ ,  $n \geq 1$ , and  $\text{Tor}_n(\bullet, B) = 0$ , then  $\text{Tor}_k(\bullet, B) \equiv 0$  for all  $k \geq n$ .

**定义 4.7.** We define flat dimension, abbreviated  $\text{F-dim}$  :

$$\text{F-dim } B = \inf\{n \geq 0 : \text{Tor}_{n+1}(\bullet, B) \equiv 0\}$$

**注 4.8.** For  $A \in M_R$ , we can define three dimensions similarly.

**定义 4.9.** We now define the right global dimension of  $R$  itself, abbreviated  $\text{LG} - \dim$  :

$$\text{LG} - \dim R = \sup\{\text{P} - \dim B : B \in {}_R M\}$$

Similarly, the right global dimension is defined:

$$\text{RG} - \dim R = \sup\{\text{P} - \dim A : A \in M_R\}$$

The weak dimension, is defined:

$$\text{W} - \dim R = \sup\{\text{F} - \dim B : B \in {}_R M\}$$

**命题 4.10.**

1.  $\text{LG} - \dim R = \inf\{n \geq 0 : \text{Ext}^{n+1}(\cdot, \cdot) \equiv 0\} = \sup\{\text{I} - \dim C : C \in {}_R M\}$
2.  $\text{W} - \dim R = \inf\{n \geq 0 : \text{Tor}_{n+1}(\cdot, \cdot) \equiv 0\} = \sup\{\text{F} - \dim A : A \in M_R\}$

**命题 4.11.** Suppose  $0 \rightarrow D \rightarrow L_1 \rightarrow L_2 \rightarrow \cdots \rightarrow L_n \rightarrow D' \rightarrow 0$  is exact in  ${}_R M$ , and  $d \geq 0$ :

1. If  $\text{P} - \dim L_j \leq d$  for all  $j$ , then  $\text{Ext}^k(D, C) \cong \text{Ext}^{k+n}(D', C)$  for all  $C \in {}_R M$  and  $k > d$ .
2. If  $\text{I} - \dim L_j \leq d$  for all  $j$ , then  $\text{Ext}^k(B, D') \cong \text{Ext}^{k+n}(B, D)$  for all  $B \in {}_R M$  and  $k > d$ .
3. If  $\text{F} - \dim L_j \leq d$  for all  $j$ , then  $\text{Tor}^k(A, D) \cong \text{Tor}^{k+n}(A, D')$  for all  $A \in M_R$  and  $k > d$ .

**证明.** 三个命题的证明是类似的, 对  $n$  归纳:

$n = 1$  时,  $0 \rightarrow \text{Ext}^k(D, C) \rightarrow \text{Ext}^{k+1}(D', C) \rightarrow 0$  是正合序列, 从而  $\text{Ext}^k(D, C) \cong \text{Ext}^{k+1}(D', C)$ 。

$n - 1 \rightarrow n$ : 记  $Q$  是  $L_n \rightarrow D'$  的核, 那么  $0 \rightarrow D \rightarrow L_1 \rightarrow \cdots \rightarrow L_{n-1} \rightarrow Q \rightarrow 0$  和  $0 \rightarrow Q \rightarrow L_n \rightarrow D' \rightarrow 0$  都是正合的。这样由归纳假设有  $\text{Ext}^k(D, C) \cong \text{Ext}^{k+n-1}(Q, C) \cong \text{Ext}^{k+n}(D', C)$ 。  $\square$

**定义 4.12.** For any projective (or flat) resolution  $\langle P_n \rangle$  of  $B$ , set  $K_0 = B, K_1 = \text{Ker}(\pi), K_n = \text{Ker}(d_{n-1})$ , then  $\cdots \rightarrow 0 \rightarrow K_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow B \rightarrow 0$  is exact.  $K_n$  is called the  $n$ th kernel of the projective resolution.

**命题 4.13** (Projective Dimension Theorem). Suppose  $B \in {}_R M$ . The following are equivalent:

1.  $\text{P} - \dim B \leq n$
2. The  $n$ th kernel of any projective resolution of  $B$  is projective
3. There exists a projective resolution of  $B$  whose  $n$ th kernel is projective.
4. There exists a projective resolution  $\langle P_k, d_k \rangle$  of  $B$  for which  $P_k = 0$  when  $k > n$

**证明.** 1.  $\Rightarrow$  2.:  $0 \rightarrow K_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow B \rightarrow 0$  正合及 4.11 给出  $\text{Ext}^1(K_n, C) \cong \text{Ext}^{n+1}(B, C)$ , 由于  $\text{P} - \dim B \leq n$ , 那么  $\text{Ext}^1(K_n, C) \cong \text{Ext}^{n+1}(B, C) = 0$ , 这给出  $\text{P} - \dim K_n = 0$ , 即  $K_n$  是投射模。

2.  $\Rightarrow$  3.: 这个结论是平凡的。

3.  $\Rightarrow$  4.: 既然  $K_n$  是投射的, 那么  $\cdots \rightarrow 0 \rightarrow K_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow B \rightarrow 0$  自然是投射分解, 且满足条件。

4.  $\Rightarrow$  1.: 此时  $\text{Hom}(P_k, C) = 0, k > n$ , 由  $\text{Ext}^k(B, C)$  的定义可以得到  $\text{Ext}^k(B, C) = 0, k > n$ , 这样  $\text{P-dim } B \leq n$ .  $\square$

类似的, 有

**命题 4.14** (Flat Dimension Theorem). Suppose  $B \in {}_R M$ . The following are equivalent:

1.  $\text{F-dim } B \leq n$
2.  $\text{Tor}_{n+1}(R/I, B) = 0$  for all finitely generated right ideal  $I$
3. The  $n$ th kernel of any flat resolution of  $B$  is flat
4. There exists a flat resolution of  $B$  whose  $n$ th kernel is flat
5. There exists a flat resolution  $\langle F_k, d_k \rangle$  of  $B$  for which  $F_k = 0$  when  $k > n$

**推论 4.15.** For all  $B \in {}_R M, \text{F-dim } B \leq \text{P-dim } B$

**证明.** If  $\text{P-dim } B = \infty$ , it's trivial. If  $\text{P-dim } B = n$ , then the  $n$ th kernel of a projective resolution of  $B$  is projective, hence flat. Thus,  $\text{F-dim } B \leq n$ .  $\square$

**推论 4.16.**  $\text{LG-dim } R \geq \text{W-dim } R, \text{RG-dim } R \geq \text{W-dim } R$

**定义 4.17.** Similarly for injectives, suppose we are given an injective resolution of  $C \in {}_R M$ :

$$0 \rightarrow C \xrightarrow{\iota} E_0 \xrightarrow{d_1} E_1 \xrightarrow{d_2} E_2 \rightarrow \cdots$$

Set  $D_n = \text{Im}(d_n) = E_{n-1}/\text{Ker}(d_n) = E_{n-1}/\text{Im}(d_{n-1}), n \geq 1, D_0 = \text{Im}(\iota) \cong C$ , then  $0 \rightarrow C \rightarrow E_0 \rightarrow \cdots \rightarrow E_{n-1} \rightarrow D_n \rightarrow 0 \rightarrow \cdots$  is exact.  $D_n$  is called the  $n$ th cokernel of the injective resolution.

**命题 4.18** (Injective Dimension Theorem). Suppose  $C \in {}_R M$ . The following are equivalent:

1.  $\text{I-dim } C \leq n$
2.  $\text{Ext}^{n+1}(R/I, C) = 0$  for all left ideals  $I$
3. The  $n$ th cokernel of any injective resolution of  $C$  is injective
4. There exists an injective resolution of  $C$  whose  $n$ th cokernel is injective.
5. There exists an injective resolution  $\langle E_k, d_k \rangle$  of  $C$  for which  $E_k = 0$  when  $k > n$

**命题 4.19** (Global dimension Theorem).  $\text{LG-dim } R = \sup\{\text{P-dim } R/I : I \text{ a left ideal}\}$

**推论 4.20.** If  $\text{LG-dim } R > 0$ , then  $\text{LG-dim } R = 1 + \sup\{\text{P-dim } I : I \text{ a left ideal}\}$ .

**证明.** We have  $\text{Ext}^n(I, C) \cong \text{Ext}^{n+1}(R/I, C), n \geq 1$ .  $\square$

**推论 4.21.**  $\text{LG-dim } R \leq 1 \iff$  every left ideal is projective.

**推论 4.22.** If  $R$  is PID, then  $\text{LG-dim } R \leq 1$ .

**注 4.23.** The ring  $\mathbb{Z}_4$  is a principal ideal ring but not a domain, and  $\text{W-dim } R = \infty$  since  $\text{Tor}_n(\mathbb{Z}_2, \mathbb{Z}_2) \neq 0$ .

**命题 4.24** (Weak dimension Theorem).

$$\text{W-dim } R = \sup\{\text{F-dim } R/I : I \text{ a finitely generated right ideal}\}$$

$$\text{W-dim } R = \sup\{\text{F-dim } R/I : I \text{ a finitely generated left ideal}\}$$

**推论 4.25.** If  $\text{W-dim } R > 0$ , then

$$\text{W-dim } R = 1 + \sup\{\text{F-dim } I : I \text{ a finitely generated right ideal}\}$$

$$\text{W-dim } R = 1 + \sup\{\text{F-dim } I : I \text{ a finitely generated left ideal}\}$$

**推论 4.26.**  $\text{W-dim } R \leq 1 \iff$  every finitely generated left ideal is flat.

## 4.2 When Flats are Projective

**命题 4.27** (Projective Basis Theorem). Suppose  $P \in {}_R M$ . The following are equivalent:

1.  $P$  is projective
2. If  $P$  is generated by  $\{s_i : i \in I\}$ , then there exists  $\varphi_i \in P^* = \text{Hom}(P, R), i \in I$  such that for all  $x \in P$ ,  $\{i \in I : \varphi_i(x) \neq 0\}$  is finite, and  $x = \sum \varphi_i(x)s_i$ .
3. There exists a generating set  $\{s_i : i \in I\}$  of  $P$  for which there exist  $\varphi_i \in P^*, i \in I$  such that for all  $x \in P$ ,  $\{i \in I : \varphi_i(x) \neq 0\}$  is finite, and  $x = \sum \varphi_i(x)s_i$ .

**证明.** 1.  $\Rightarrow$  2.: Suppose  $P$  is generated by  $\{s_i : i \in I\}$ , let  $F = \bigoplus_{i \in I} R$  be the free module on  $I$ ,  $\pi : F \rightarrow P$  defined via  $i \mapsto s_i$ . Then  $F \rightarrow P \rightarrow 0$  is exact, hence  $0 \rightarrow \ker(\pi) \rightarrow F \rightarrow P \rightarrow 0$  splits since  $P$  is projective ( $\text{id}_P$  could extend to  $\eta : P \rightarrow F$ ). Suppose the  $i$ th coordinate of  $\eta(x)$  is  $\varphi_i$ . Then  $x = \sum \varphi_i(x)s_i$  and  $\{i \in I : \varphi_i(x) \neq 0\}$  is finite.

2.  $\Rightarrow$  3.: It is trivial.

3.  $\Rightarrow$  1.: Let  $F$  is the free module on  $I$ , and  $\pi : i \mapsto s_i$ . define  $\eta(x) = \sum \varphi_i(x)s_i$ , then  $i_P = \pi\eta$ , then  $F \rightarrow P \rightarrow 0$  is splits. Thus,  $P$  is a direct summand of  $F$ , hence projective.  $\square$

**推论 4.28.** Suppose  $P$  is finitely generated. Then  $P$  is projective if and only if the image of the natural map  $P^* \otimes P \rightarrow \text{Hom}(P, P)$  contains  $i_P$ .

**证明.**  $\sum \varphi_i \otimes s_i \mapsto i_P \iff x = \sum \varphi_i(x)s_i$ .  $\square$

**定义 4.29.** Suppose  $B \in {}_R M$  is finitely generated.  $B$  is called finitely presented provided there exists a finitely generated free module  $F$ , and a map  $\pi$  from  $F$  onto  $B$ , such that  $\text{Ker}(\pi)$  is also finitely generated.

**推论 4.30.** All finitely generated projective modules are finitely presented.

**证明.** Projective module  $B \in {}_R M$  gives the sequence  $0 \rightarrow \text{Ker}(\pi) \rightarrow F \rightarrow B \rightarrow 0$  splits, then  $\text{Ker}(\pi)$  is a direct summand, hence a image. Therefore  $\text{Ker}(\pi)$  is finitely generated.  $\square$

**命题 4.31.** Suppose  $B \in {}_R M$  is flat, and suppose  $C \in {}_R M$  is finitely presented. Then  $C^* \otimes B \rightarrow \text{Hom}(C, B)$  is an isomorphism.

**证明.** We may suppose that we have finitely generated free modules  $F_0$  and  $F_1$ , and an exact sequence  $F_1 \rightarrow F_0 \rightarrow C \rightarrow 0$  ( $\text{Ker}(F_0 \rightarrow C)$  is the image of  $F_1$ ). Since  $\text{Hom}(\cdot, R)$  is left exact, then  $0 \rightarrow C^* \rightarrow F_0^* \rightarrow F_1^*$  is exact. Then  $0 \rightarrow C^* \otimes B \rightarrow F_0^* \otimes B \rightarrow F_1^* \otimes B$  is exact since  $B$  is flat.

If  $F$  is finitely generated free module, i.e.  $F = \bigoplus_{i=1}^n R$ , then  $F^* \otimes B \cong \bigoplus (R \otimes B) \cong \bigoplus B \cong \bigoplus \text{Hom}(R, B) = \text{Hom}(F, B)$ . This lemma gives  $F_1^* \otimes B \cong \text{Hom}(F_1, B)$ ,  $F_0^* \otimes B \cong \text{Hom}(F_0, B)$ . Then there exists commutative diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & 0 & \longrightarrow & C^* \otimes B & \longrightarrow & F_0^* \otimes B & \longrightarrow & F_1^* \otimes B \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & 0 & \longrightarrow & \text{Hom}(C, B) & \longrightarrow & \text{Hom}(F_0, B) & \longrightarrow & \text{Hom}(F_1, B) \end{array}$$

We can obtain the conclusion by 5-lemma. □

**定理 4.32.** Suppose  $P \in {}_R M$  is finitely generated. The following are equivalent:

1.  $P$  is projective
2.  $P$  is flat and finitely presented
3. The natural map from  $P^* \otimes P$  to  $\text{Hom}(P, P)$  is an isomorphism
4. The image of the natural map from  $P^* \otimes P$  to  $\text{Hom}(P, P)$  contains  $i_P$

**证明.** 4.30 gives  $1. \Rightarrow 2.$ , 4.31 gives  $2. \Rightarrow 3.$ ,  $3. \Rightarrow 4.$  is trivial, 4.28 gives  $4. \Rightarrow 1.$ . □

**推论 4.33.** Suppose  $R$  is left Noetherian, and suppose  $B$  is a finitely generated left  $R$ -module. Then  $\text{P-dim } B = \text{F-dim } B$ .

**证明.** Choose  $F_0$  a finitely generated free module and  $\pi : F_0 \rightarrow B$  is onto. Since  $R$  is left Noetherian, hence  $\text{Ker}(\pi)$  is also finitely generated. Then choose  $F_1$  a finitely generated free module like previous, etc.

We get a series of finitely generated free modules  $\{F_n\}$  such that  $\cdots \rightarrow F_n \rightarrow F_{n-1} \rightarrow \cdots \rightarrow F_1 \rightarrow F_0 \rightarrow B \rightarrow 0$  is a flat resolution of  $B$ . Then the  $n$ th kernel of this resolution is finitely generated, hence obviously finitely presented, hence flat if and only if projective. Then  $\text{P-dim } B = \text{F-dim } B$ . □

**推论 4.34.** Suppose  $R$  is left Noetherian. Then  $\text{LG-dim } R = \text{W-dim } R$ .

**证明.** The two dimensions only rely on the quotients  $R/I$ , which are finitely generated modules. □

**推论 4.35 (Auslander).** Suppose  $R$  is both right and left Noetherian. Then  $\text{LG-dim } R = \text{RG-dim } R$ .

### 4.3 Dimension Zero

**定义 4.36.** A Dedekind domain is an integral domain with global dimension less than or equal to one.

**推论 4.37.** Every ideal of Dedekind domain is projective.

证明. 4.21. □

**命题 4.38.** Suppose  $R$  is commutative, and  $I$  is a projective ideal containing a nonzero divisor  $b$ . Then  $I$  is finitely generated, say by  $s_1, \dots, s_n$ . Further, there exists  $b_1, \dots, b_n$  in  $R$  such that, for all  $j$ ,  $b$  divides  $xb_j$  for all  $x \in I$ , and  $x = \sum (xb_j/b)s_j$ . In particular, if  $R$  is an integral domain, then any projective ideal is finitely generated; hence, any Dedekind domain is Noetherian.

证明. Since  $I$  is projective, suppose  $I$  is generated by  $s_i$ , then there exists  $\varphi_i : I \rightarrow R$  such that  $x = \sum \varphi_i(x)s_i$  for all  $x \in I$ . If  $\varphi_i(b) = 0$ , then  $x\varphi_i(b) = b\varphi_i(x) = 0$ . Since  $b$  is nonzero divisor, then  $\varphi_i(x) = 0$ , that is, there exists finite  $\varphi_i \neq 0$ , i.e.  $I$  is finitely generated.

Let  $\varphi_i(b) = b_i \neq 0$ , then  $b$  divides  $xb_i$ , and  $\varphi_i(x) = xb_i/b$  (the quotient is well-defined if  $b$  is the denominator). □

**定义 4.39.** If  $R$  is a ring, and  $B$  is an  $R$ -module (left or right), then  $B$  is semisimple if every submodule of  $B$  is a direct summand of  $B$ .

**命题 4.40.** Since 4.10, if  $\text{LG} - \dim R = 0$ , then every left  $R$ -module is injective. Then if  $B$  is a submodule of  $C$ , we have  $B$  is a direct summand of  $C$  (2.43). Then every left  $R$ -module is semisimple.

**定义 4.41.** If  $R$  is ring, and  $B$  is an  $R$ -module, then  $B$  is simple if  $B \neq 0$ , and the only submodules of  $B$  are 0 and  $B$ .

$B$  is simple if and only if  $B \neq 0$  and  $Rx = B$  for all  $x \in B$ .

**定义 4.42.** If  $R$  is a ring, and  $B$  is an  $R$ -module,  $B'$  is a submodule. Then  $B'$  is maximal if  $B/B'$  is simple.

**命题 4.43.** Since  $Rx = R/\text{Ann}(x)$ , then  $B$  is simple if and only if  $B$  is isomorphism to a quotient  $R/I$ , where  $I$  is a maximal left ideal.

**引理 4.44.** Every submodule of a semisimple module is semisimple.

证明. 设  $D$  是半单的, 且  $C$  是  $D$  的子模. 对  $C$  的任意子模  $B$ , 存在  $A$  使得  $A \oplus B = D$ . 这样  $A \cap B = \emptyset$ , 且  $A + (B \cap C) = C$ . 于是  $C = A \oplus (B \cap C)$ . □

**命题 4.45.** Suppose  $R$  is a ring, and  $B \in {}_R M$ . Suppose  $B$  is generated by a set  $S$  together with an element  $x$ , but is not generated by  $S$  alone. Then any submodule of  $B$  that contains  $S$ , and is maximal with respect of the property of not containing  $x$ , is maximal as a submodule. Such submodules exist.

证明. Use Zorn's lemma. □

**推论 4.46.** Every nonzero semisimple module contains a simple submodule.



证明. For  $x \neq 0$ , let  $B'$  be the submodule generated by  $x$ , and let  $S = \emptyset$ . Then there exists  $B''$  is a maximal submodule of  $B'$ . Since  $B'$  is semisimple, then  $B' = B'' \oplus B'''$ , i.e.  $B''' \cong B'/B''$  is simple.  $\square$

**命题 4.47.** Every semisimple module is the sum of its simple submodule.

证明. If  $B$  is semisimple, let  $B'$  denote the sum of all the simple submodules of  $B$ . If  $B \neq B'$ , then  $B = B' \oplus B''$ , then  $B''$  is semisimple, which contains a simple submodule. Then  $B'' \cap B' \neq 0$ , contradiction. We obtain  $B' = B$ .  $\square$

**引理 4.48.** Suppose  $B$  is an  $R$ -module,  $I$  is an index set, and  $B_i$  is a simple submodule of  $B$  for each  $i \in I$ . Also suppose  $B = \sum_I B_i$ , that is,  $B$  is the sum of the  $B_i$  (probably not direct) of the  $B_i$ . Then for any submodules of  $B$  there exists a subset  $J$  of  $I$  such that  $B = B' \oplus (\oplus_{i \in J} B_i)$ .

证明. Consider the set  $\Sigma$  consists of all the subsets  $J$  of  $I$  such that  $B' + (\sum_{i \in J} B_i) = B' \oplus (\oplus_{i \in J} B_i)$ ,  $\Sigma \neq \emptyset$  since  $\emptyset \in \Sigma$ . Use Zorn's lemma, there exists a maximal element (also said  $J$ ) in  $\Sigma$ .

Suppose  $t \in I - J$ , then  $B' \oplus (\oplus_{i \in J \cup \{t\}} B_i) \neq B' + (\sum_{i \in J \cup \{t\}} B_i) = B' \oplus (\oplus_{i \in J} B_i) + B_t$ , that is,  $B_t \cap (B' \oplus (\oplus_{i \in J} B_i)) \neq 0$ . As a nonempty submodule of simple module  $B_t$ , we have  $B_t \cap (B' \oplus (\oplus_{i \in J} B_i)) = B_t$ . Then for all  $B_t$ ,  $B_t \subset B' \oplus (\oplus_{i \in J} B_i)$ , hence  $B = \sum B_t \subset B' \oplus (\oplus_{i \in J} B_i)$ , i.e.  $B = B' \oplus (\oplus_{i \in J} B_i)$ .  $\square$

**定理 4.49.** Suppose  $B$  is an  $R$ -module. The following are equivalent:

1.  $B$  is semisimple
2.  $B$  is a sum of simple submodules
3.  $B$  is a direct sum of simple submodules

证明. This theorem follows from 4.47 and 4.48.  $\square$

**定义 4.50.** For all  $R$ -module  $B$ ,  $\text{Hom}(B, B)$  is a ring, called the endomorphism ring of  $B$ , and is denoted  $\text{End}(B)$ .

**命题 4.51.** If  $B$  and  $B'$  are simple  $R$ -modules, then every nonzero element of  $\text{Hom}(B, B')$  is an isomorphism.

证明. For every  $0 \neq \varphi \in \text{Hom}(B, B')$ ,  $B$  and  $B'$  are simple gives that  $\text{Ker}(\varphi) = 0$  and  $\text{Im}(\varphi) = B'$ .  $\square$

**推论 4.52** (Schur's Lemma). If  $B$  is a simple  $R$ -module, then  $\text{End}(B)$  is a division ring.

**注 4.53.** 除环是指所有非零元都可逆的环, 交换除环即为域。

**命题 4.54.** 显然  $\text{Hom}(B^n, B^n)$  中的元素可以看做  $\text{Hom}(B, B)$  中的元素构成的  $n \times n$  的矩阵  $M_n(\text{End}(B))$ , 且矩阵运算与同态的运算保持一致。这样, 我们有  $\text{End}(B^n) \cong M_n(\text{End}(B))$

**推论 4.55.** Suppose  $B_1, \dots, B_N$  are pairwise nonisomorphism simple  $R$ -modules. Then

$$\text{End}(B_1^{n_1} \oplus \dots \oplus B_N^{n_N}) \cong M_{n_1}(\text{End}(B_1)) \oplus \dots \oplus M_{n_N}(\text{End}(B_N))$$

**引理 4.56.** Suppose  $B$  is a finitely generated semisimple  $R$ -module. Then  $B$  is a finite direct sum of simple modules.

**证明.**  $B$  is a direct sum of simple submodules  $B = \oplus_{i \in I} B_i$

If  $B$  is generated by  $x_1, \dots, x_n$ , then for every  $1 \leq j \leq n$ , the number of  $i$  satisfied  $x_j \in B_i$  is finite. Then there exists a finite subset  $J \subset I$  such that  $B = \oplus_{i \in J} B_i$ .  $\square$

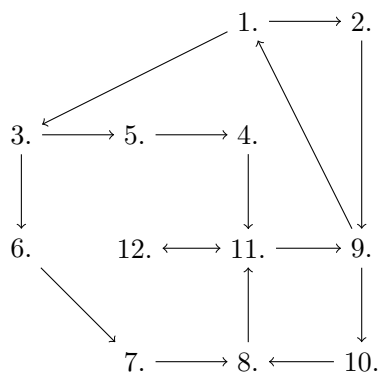
**引理 4.57.** If  $R$  is a ring, then the opposite ring to  $M_n(R)$  is isomorphic to  $M_n(R^{op})$ , via  $A \mapsto A^T$ .

**引理 4.58.**  $\text{Hom}_R(R, R) \cong R^{op}$  (as a ring isomorphism)

**定理 4.59** (Artin-Wedderburn Structure Theorem). Suppose  $R$  is a ring. The following are equivalent:

1.  $\text{LG} - \dim R = 0$
2. Every left  $R$ -module is projective.
3. Every left  $R$ -module is injective.
4. Every left  $R$ -module is semisimple.
5. Every short exact sequence of left  $R$ -modules splits.
6. Every left ideal is injective.
7. Every maximal left ideal is injective.
8. Every maximal left ideal is a direct summand of  $R$ .
9. For every left ideal  $I$ ,  $R/I$  is projective.
10. Every simple left  $R$ -module is projective.
11.  $R$  is semisimple as a left  $R$ -module.
12.  $R$  is finite direct sum of matrix rings over division rings.

**证明.** 思维导图如下:



我们来一条一条说明：

1.  $\Rightarrow$  2. 是因为  $\text{LG} - \dim R$  的定义。

1.  $\Rightarrow$  3. 由  $\text{LG} - \dim R$  的进一步性质 4.10 给出。

2.  $\Rightarrow$  9. 由 4.19 给出。

3.  $\Rightarrow$  5. 由 2.43 给出。

3.  $\Rightarrow$  6.: 左理想自然是左模，从而是内射模。

4.  $\Rightarrow$  11.:  $R$  自然是一个左  $R$ -模，从而半单。

5.  $\Rightarrow$  4.: 若  $B$  是一个左  $R$ -模且  $A$  是  $B$  的子模，考虑正合列  $0 \rightarrow A \rightarrow B \rightarrow B/A \rightarrow 0$ 。因为这是分裂序列，从而  $B = A \oplus B/A$ ，这样  $B$  是半单的。

6.  $\Rightarrow$  7.: 这是平凡的。

7.  $\Rightarrow$  8. 由 2.43 给出。

8.  $\Rightarrow$  11.: 设  $J$  是所有  $R$  的单子模的和，如果  $J \neq R$ ，那么存在一个极大理想  $I$  包含  $J$ ，但是  $R/I$  是单的，且  $R/I \cap J = \emptyset$ ，矛盾。从而  $J = R$ ，由 4.49， $R$  是半单的。

9.  $\Rightarrow$  1. 由 4.19 给出。

9.  $\Rightarrow$  10. 由 4.43 给出。

10.  $\Rightarrow$  8.: 对极大左理想  $I$ ， $R/I$  是单左模，它是投射模。由 2.31 得出。

11.  $\Rightarrow$  9.:  $R = R/I \oplus I$ ，这样  $R/I$  是自由模的直和项，显然是投射的。

11.  $\Rightarrow$  12.:  $R$  显然是有限生成的  $R$ -模，这样由 4.56  $R$  是单子模的有限直和，这样  $R^{op} \cong \text{Hom}_R(R, R)$  是矩阵环的有限直和。两边同时取  $op$  即得。

12.  $\Rightarrow$  11.: 设  $R = M_{r_1}(R_1) \oplus \cdots \oplus M_{r_n}(R_n)$ 。对每个  $M_r(R)$ ，记  $L_k$  为除了第  $k$  列外均为 0 的矩阵构成的理想，这样  $L_k$  互不相交且单且直和为  $M_r(R)$ ，这样  $M_r(R)$  是半单的，这样  $R$  是半单的。  $\square$

**推论 4.60.** 可以看出，12. 和左模右模无关，从而  $\text{LG} - \dim R = 0 \iff \text{RG} - \dim R = 0$ 。

**定义 4.61.** If  $R$  is a ring, then  $R$  is regular if, for all  $a \in R$ , there exists  $r \in R$  for which  $a = ara$  ( $r$  depends on  $a$ ).

**推论 4.62.** 显然  $Rra \subset Ra$ ，而  $Ra = Rara \subset Rra$ ，这样  $Ra = Rra$ 。而  $ra = (ra)^2$ ，这样每个主理想都是幂等元生成的。

**引理 4.63.** Suppose  $R$  is a ring, and  $I$  is a left ideal. Then  $I$  is a direct summand of  $R$  if and only if  $I$  is principal and generated by an idempotent.

**证明.** If  $I = Re$  with  $e = e^2$ , let  $f = 1 - e$ , then  $Re + Rf = R$ . And we have  $Re \cap Rf = 0$  since  $r_1e = r_2(1 - e) \Rightarrow (r_1 + r_2)e = r_2 \Rightarrow (r_1 + r_2)e = (r_1 + r_2)e^2 = r_2e \Rightarrow r_1e = 0$ . Then  $R = (Re) \oplus (Rf)$ .

If  $R = I \oplus J$ , then  $1 = e + f$  for some  $e \in I$ ,  $f \in J$ , and  $ef \in I \cap J \Rightarrow ef = 0$ . Therefore  $e^2 + f^2 = e + f$ , hence  $e - e^2 = f^2 - f \in I \cap J$ , i.e.  $e = e^2$ ,  $f = f^2$ .  $Re \subset I$ ,  $Rf \subset J$ , and  $Re + Rf = R$  deduces that  $Re = I$ ,  $Rf = J$ .  $\square$

**引理 4.64.** Suppose  $R$  is a ring, and suppose  $e$  and  $f$  are idempotents in  $R$  such that  $ef = 0 = fe$ . Then  $e + f$  is idempotent and  $Re + Rf = R(e + f)$ .

**引理 4.65.**  $Ra + Rb = Ra + Rb(1 - a)$

**引理 4.66.** Suppose  $R$  is regular. Then every finitely generated left ideal is principal (and generated by an idempotent).

证明. 事实上 4.64 和 4.65 给出两个主理想的和还是主理想

这是因为  $Ra + Rb = Ra + Rb(1 - a)$ , 记  $b' = rb(1 - a)$ , 其中  $r$  是使得  $b(1 - a)rb(1 - a) = b(1 - a)$  成立的  $r$ . 这样  $Rb' = Rb(1 - a)$ . 且  $b'a = 0$ ,  $b'^2 = rb(1 - a) \cdot rb(1 - a) = rb(1 - a) = b'$ . 设  $a' = a(1 - b')$ , 则  $a'^2 = a(1 - b')a(1 - b') = a(a - b'a)(1 - b') = a^2(1 - b') = a(1 - b')$ , 且  $b'a' = 0, a'b' = 0$ . 从而  $Ra + Rb = Ra + Rb' = Ra' + Rb' = R(a' + b')$ .  $\square$

**定理 4.67** (Weak Dimension Zero Characterization). Suppose  $R$  is a ring. The following conditions are equivalent:

1.  $\text{W-dim } R = 0$
2. Every left  $R$ -module is flat
3. For every finitely generated left ideal  $I$ ,  $R/I$  is projective
4.  $\text{Tor}_1(R/J, R/I) = 0$  for every finitely generated right ideal  $J$  and every finitely generated left ideal  $I$
5.  $\text{Tor}_1(R/aR, R/Ra) = 0$  for every  $a \in R$
6.  $R$  is regular

证明.  $1. \Rightarrow 2.$  由  $\text{W-dim } R$  的定义给出。

$2. \Rightarrow 4.$  这是平凡的。

$4. \Rightarrow 5.$  这是平凡的。

$5. \Rightarrow 6.$  根据第三章的题 9,  $0 = \text{Tor}_1(R/J, R/I) \cong (J \cap I)/(JI)$ , 这样  $J \cap I = JI$ . 从而  $a \in aR \cap Ra = aRJa = aRa$ , 即存在  $r$  使得  $ara = a$ .

$6. \Rightarrow 3.$ :  $R$  是 regular 给出  $R$  的所有有限生成理想都是主理想, 且由幂等元生成. 这样 4.63 给出  $I$  是  $R$  的直和项,  $R = I \oplus R/I$ , 即  $R/I$  是投射的。

$3. \Rightarrow 1.$ : 3.39 给出任意的左  $R$ -模都是平坦的, 这样  $\text{W-dim } R = 0$ .  $\square$

**注 4.68.** Since  $R/I$  is finitely presented ( $I$  is finitely generated), then  $R/I$  is projective if and only if it's flat.

## 4.4 An Example

**定义 4.69.** A Bézout domain is an integral domain in which every finitely generated ideal is principal.

**命题 4.70.** Since  $R \rightarrow Ra$  is a module isomorphism, then every principal ideal in integral domain is projective, hence flat.

**推论 4.71.** Any finitely generated ideal of a Bézout domain is projective, hence flat.

**推论 4.72.** 4.26 给出  $W - \dim R \leq 1$ .

**命题 4.73.** If a Bézout domain is not a PID, then there exists an ideal  $I$  which is not finitely generated. By 4.38 we have  $I$  is not projective. Then 4.21 deduces  $LG - \dim R \geq 2$ . Then Bézout domain is an example satisfied  $W - \dim \neq LG - \dim$ .

**命题 4.74.** If  $I$  is a nonprincipal ideal of a Bézout domain, and  $I$  is generated by a countable set  $\{r_i\}$ , then  $P - \dim I = 1$ .

**证明.** Let  $I_n = (r_1, \dots, r_n) \triangleq Ra_n$ ,  $I_{n+1} \supset I_n$  deduces that  $a_{n+1}|a_n$ . We have  $I = \bigcup I_n$ . Suppose  $a_n = d_n a_{n+1}$ .

Denote  $F = \bigoplus_{i=1}^{\infty} R$ , and send  $(x_1, \dots, x_n, \dots)$  to  $\sum x_j a_j$ . The map is onto.

Set  $v_1 = (1, -d_n, 0, \dots)$ ,  $v_2 = (0, 1, -d_2, 0, \dots)$ ,  $\dots$ , we have  $v_n \mapsto 0$ , so  $v_n \in \text{Ker}(F \rightarrow I) \triangleq K$ .

Suppose  $(x_1, \dots, x_N, 0, \dots) \mapsto 0$ , then  $\sum_{i=1}^N x_i a_i = 0$ . Since it's a finite sum, by induction on  $N$ , we have  $K$  is generated by  $v_n$ .

And if  $\sum_{i=1}^M v_i s_i = 0$ , then by induction on  $M$ , we have  $s_i \equiv 0$ , then  $K$  is free. Then  $\dots \rightarrow 0 \rightarrow K \rightarrow F \rightarrow I \rightarrow 0$  is a projective resolution of  $I$ , hence  $P - \dim I \leq 1$ .

But  $I$  is not projective, hence  $P - \dim I = 1$ . □

## 4.5 Exercises

2. Suppose  $0 \rightarrow B \rightarrow B' \rightarrow B'' \rightarrow 0$  is short exact in  ${}_R M$ , and suppose  $P - \dim B > P - \dim B'$  or  $P - \dim B'' > 1 + P - \dim B'$ . Show that  $P - \dim B'' = 1 + P - \dim B$ .

**证明.** When  $P - \dim B' = \infty$ , the conclusion is trivial.

Let  $P - \dim B' = t < \infty$ .

For every  $C \in {}_R M$ ,  $\dots \rightarrow \text{Ext}^n(B'', C) \rightarrow \text{Ext}^n(B', C) \rightarrow \text{Ext}^n(B, C) \rightarrow \text{Ext}^{n+1}(B'', C) \rightarrow \dots$  is exact. Then whenever  $P - \dim B > P - \dim B'$  or  $P - \dim B'' > P - \dim B' + 1$ , we have  $0 \rightarrow \text{Ext}^t(B, C) \cong \text{Ext}^{t+1}(B'', C)$ . □

4. Prove Schanuel's lemma: If  $0 \rightarrow K_i \rightarrow P_i \rightarrow B \rightarrow 0$  are short exact for  $i = 1, 2$ , with  $P_1$  and  $P_2$  projective, then  $K_1 \oplus P_2 \cong K_2 \oplus P_1$ .

**证明.** Since  $P_1$  and  $P_2$  are projective, there exists  $f$  and  $g$  such that

$$\begin{array}{ccccc} & P_1 & & & \\ & \uparrow & \searrow \varphi_1 & & \\ f \downarrow & & & B & \longrightarrow 0 \\ & \downarrow g & \nearrow \varphi_2 & & \\ & P_2 & & & \end{array}$$

We have  $K_1 \cong \text{Ker}(\varphi_1)$ ,  $K_2 \cong \text{Ker}(\varphi_2)$ , and  $x \in \text{Ker}(\varphi_1) \Rightarrow x \in \text{Ker}(\varphi_2 \circ f) \Rightarrow f(x) \in \text{Ker}(\varphi_2)$ , similarly  $g(\text{Ker}(\varphi_2)) \in \text{Ker}(\varphi_1)$ . Then we can build a homomorphism

$$\psi : \text{Ker}(\varphi_1) \oplus P_2 \rightarrow P_1$$

$$(k_i, p'_i) \mapsto k_i - g(p'_i)$$

If  $k_i - g(p'_i) = 0$ , then  $k_i = g(p'_i)$ , then  $\varphi_2(p'_i) = 0$ , so we have  $\text{Ker}(\psi) \cong K_2$ . Furthermore, for all  $p_i \in P_2$ ,  $k_i \triangleq p_i - g(f(p_i)) \in \text{Ker}(\varphi_1)$ , this deduces  $\psi$  is onto. Since  $P_1$  is projective, then  $P_1$  is a summand of  $\text{Ker}(\varphi_1) \oplus P_2$ , i.e.  $P_1 \oplus K_2 \cong K_1 \oplus P_2$ .  $\square$

5. Suppose  $B$  is finitely presented, and suppose  $P$  is projective and finitely generated, with  $0 \rightarrow K \rightarrow P \rightarrow B \rightarrow 0$  short exact. Show that  $K$  is finitely generated.

证明. There are two short exact sequences  $0 \rightarrow K \rightarrow P \rightarrow B \rightarrow 0$  and  $0 \rightarrow \text{Ker}(\oplus R \rightarrow B) \rightarrow \oplus R \rightarrow B \rightarrow 0$ . By the last exercise, we have  $K \oplus (\oplus R) \cong \text{Ker}(\oplus R \rightarrow B) \oplus P$ . Hence  $K \cong (P \oplus \text{Ker}(\oplus R \rightarrow B)) / (\oplus R)$  is finitely generated.  $\square$

6. A ring  $R$  is called a Boolean ring if  $x = x^2$  for all  $x \in R$ .

1. Show that any Boolean ring  $R$  is commutative, with  $x = -x$  for all  $x \in R$
2. Show that any Boolean ring is regular
3. Show that any finite Boolean ring is isomorphic to a finite direct sum of copies of  $\mathbb{Z}_2$

证明. 1. Since  $x + x = (x + x)^2 = x^2 + x^2 + x^2 + x^2 = x + x + x + x \Rightarrow x + x = 0 \Rightarrow x = -x$ , we have  $x + y = (x + y)(x + y) = x + y + xy + yx \Rightarrow xy + yx = 0 \Rightarrow xy = yx$  for all  $x, y \in R$ .

2. Let  $r = 1$ , we have  $a = ara$ .

3. For  $x \in R$ , let  $y = 1 - x$ . Then  $Ax + Ay = A$ , and  $Ax \cap Ay = 0$ . Then  $Ax \oplus Ay = A$ . By induction we can get the conclusion.  $\square$

7. Let  $R = \prod_{n=1}^{\infty} \mathbb{Z}_2$ , the product of infinitely many copies of  $\mathbb{Z}_2$ . Note that  $R$  is a Boolean ring, hence is regular by exercise 6. Let  $I = \bigoplus_{i=1}^{\infty} \mathbb{Z}_2$ .

1. Show that  $I$  is projective but not finitely generated.
2. Show that  $R/I$  is flat and finitely generated, but neither finitely presented nor projective. Show that as explicitly as possible where 4.32 break down.
3. Show that  $\text{LG} - \dim R > \text{W} - \dim R$ .

证明. 1. This is equivalent to  $\mathbb{Z}_2$  is projective. But  $R = \mathbb{Z}_2 \oplus (\prod \mathbb{Z}_2)$  is project, then  $\mathbb{Z}_2$  is projective.

2. 4.67 deduces  $R/I$  is flat.  $R$  is finitely generated as a  $R$ -module, then  $R/I$  is finitely generated. Since  $I$  is not finitely generated,  $R/I$  is not finitely presented.

3.  $\text{W} - \dim R = 0$ . Since  $R/I$  is not projective, this deduces  $\text{LG} - \dim R > 0$ .  $\square$

8. Suppose  $I$  is a projective ideal in a GCD domain, and suppose  $x, y \in I$ . Show that "the" GCD  $d$  of  $x$  and  $y$  belongs to  $I$ . Hence show that  $I$  is principal. Finally, deduce that a GCD domain is a Dedekind domain if and only if it is a PID.

证明. We need some new theories to prove  $I$  is finitely generated.

**定义 4.75.**  $R$  是一个整环,  $K$  是它的分式域.  $R$  的**分式理想**是  $K$  的一个  $R$ -子模  $M$  满足  $xM \subseteq R$ , 对某个  $x \in R, x \neq 0$  成立. 特别通常意义上的理想 (现称**整理理想**) 是分式理想. 若  $M$  是一分式理想, 所有满足  $xM \subseteq R$  的  $x \in K$  的集合记为  $(R : M)$ .

$K$  的一个  $R$ -子模  $M$  叫做**可逆理想** (invertible ideal), 如果存在  $K$  的一个  $R$ -子模  $N$  使得  $MN = R$ .  $N$  此时是唯一的且  $N = (R : M)$ .

**命题 4.76.** 可逆理想是有限生成的.

证明. 设  $1 = \sum_{i=1}^n m_i n_i$ , 这样  $\forall x \in M, x = 1 \cdot x = (\sum_{i=1}^n m_i n_i)x = \sum (n_i x)m_i$  是有限生成的.  $\square$

**命题 4.77.** 分式理想  $M$  可逆等价于投射.

证明. 如果  $M$  是可逆的, 那么  $1 = \sum m_i n_i$ , 且有  $m_i$  生成了  $M$ . 定义  $f_i : M \rightarrow R, f_i(m) = n_i m$ , 则  $m = \sum f_i(m)m_i$ . 由 4.27, 可得  $M$  是投射的.

如果  $M$  是投射的, 那么存在  $m_i$  和  $f_i \in \text{Hom}(M, R)$  使得  $m = \sum f_i(m)m_i$ . 给定一个  $b \in M$ , 令  $k_i = f_i(b)/b$ . 对任意  $m \in M$ , 令  $m = p/q, b = r/s, p, q, r, s \in R$ , 那么  $m f_i(b)sq = p f_i(r) = f_i(pr) = r f_i(p) = s b f_i(qm) = s b q f_i(m)$ , 那么  $m f_i(b) = b f_i(m)$ , 这样  $k_i m = f_i(m)$ . 从而  $k_i M \subset R$ . 设  $f_1(b), \dots, f_n(b)$  不为 0, 此时有  $m = \sum f_i(m)m_i = \sum k_i m m_i$ , 这表明  $1 = \sum k_i m_i$ , 从而  $M$  可逆.  $\square$

**推论 4.78.**  $R$  的投射理想是可逆的.

Let  $I = (a_1, \dots, a_n)$ , let  $d$  be the  $\gcd(a_1, \dots, a_n)$ . And we have  $I$  is invertible, that is, there exists  $J$  such that  $J = (R : I)$  is a submodule of  $K$ . For all  $x/y \in K, (x, y) = 1, x/y \in (R : I) \Leftrightarrow x a_i / y \in R, \forall i \Leftrightarrow y | a_i x, \forall i \Leftrightarrow y | \gcd(x a_1, \dots, x a_n) = x d$ .

Then we need a lemma of GCD domain: If  $\gcd(a, b) = 1$  and  $a | bc$ , then  $a | c$ . The proof is easy: since  $\gcd(ac, bc) = c$ , we have  $a | c$ .

By the lemma, we have  $y | x d \Leftrightarrow y | d$ , then  $J = y^{-1} R$ . Then  $1 = 1/d(\sum x_i a_i)$ , that is  $d = \sum x_i a_i \in I$ . Then  $I = R d$  is principal.

Then GCD domain is a Dedekind domain if and only if every ideal is projective, this is equivalent to every ideal is principal ideal.  $\square$

9. The following is a theorem from commutative algebra:

Suppose  $R$  is a UFD, and not a field. Then  $R$  is a PID if and only if the Krull dimension of  $R$  is equal to one.

Prove the analogous result with the word "Krull" replaced by "weak".

证明. Firstly we prove the theorem in commutative algebra.

If  $R$  is PID, then for any prime ideal's chain  $0 \subsetneq (p) \subsetneq (q)$ , we have  $q|p$ , hence  $p = q$ , contradiction. Then the Krull dimension of  $R$  is equal to one.

If the Krull dimension of  $R$  is equal to one, then every nonzero prime ideal is maximal, then every prime ideal is principal.

Then we can get the result by the following lemma:

A ring is a principal ideal ring if and only if every prime ideal is principal.

证明. Let  $S$  be the ideals which are not principal, assume  $S \neq \emptyset$ . By Zorn's lemma, there's a maximal element  $I$  in  $S$ . If  $I$  is not prime, then there exists  $ab \in I$  and  $a \notin I$ ,  $b \notin I$ . Hence  $(a) + I, (b) + I \notin S$ , that is,  $(a) + I = (x)$ ,  $(b) + I = (y)$ . Then  $(xy) = (ab) + ((a) + (b))I + I = I$ , contradiction.  $\square$

Then for the "weak" one:

If  $R$  is UFD and  $\dim R = 1$ , then 4.25 deduces  $\dim I = 0$  for all finitely generated ideal  $I$ . Hence it's flat. UFD is GCD domain, hence finitely generated ideal is principal.  $\square$

10. Prove the module law: If  $A, B$  and  $C$  are submodules of  $D$ , with  $A \subset C$ , then  $A + (B \cap C) = (A + B) \cap C$ .

证明. It's trivial.  $\square$

11. Suppose  $B_i \in {}_R M$ . Show that  $\dim(\oplus B_i) = \sup(\dim B_i)$ .

证明. This is deduces by chapter 3, exercise 11.  $\square$

12. Suppose  $R$  is an integral domain and suppose  $a$  and  $b$  are nonzero and are nonunits in  $R$ . Set  $\bar{R} = R/Rab$ , and if  $x \in R$ , set  $\bar{x} \in \bar{R}$ .

1. Show that  $\bar{R}\bar{b} \cong \bar{R}/\bar{R}\bar{a}$

2. Show that the following are equivalent:

- $\bar{R}/\bar{R}\bar{a}$  is  $\bar{R}$ -projective
- $Ra + Rb = R$
- $Ra + Rb = R$  and  $Ra \cap Rb = Rab$
- $\bar{R}\bar{a} \oplus \bar{R}\bar{b} = \bar{R}$

3. Show that if  $Ra + Rb \neq R$ , then  $\bar{R}$  has infinite weak dimension.

4. Compute  $\text{Tor}_n^{\bar{R}}(\bar{R}/\bar{R}\bar{a}, \bar{R}/\bar{R}\bar{a})$  for the case  $R = \mathbb{Z}[x]$ ,  $a = x$ ,  $b = 2$

证明. 1. For all  $x \in R$ , let  $f$  maps  $\bar{x}$  to  $\bar{x} + \bar{b}\bar{R}$ . If  $\bar{x} \in \bar{b}\bar{R}$ , then  $x \in aR$ , hence  $\text{Ker}(f) = \bar{a}\bar{R}$ .

2. (1)  $\Rightarrow$  (4)  $\Rightarrow$  (3)  $\Rightarrow$  (2) is trivial, and (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Rightarrow$  (1) is also obvious enough.

3.  $\bar{R}/\bar{R}\bar{a} \cong \bar{R}\bar{b}$  is not  $\bar{R}$ -projective, then  $\text{Tor}_1(\bar{R}/\bar{R}\bar{a}, \bar{R}/\bar{R}\bar{a}) \neq 0$ , similarly  $\text{Tor}_1(\bar{R}/\bar{R}\bar{b}, \bar{R}/\bar{R}\bar{b}) \neq 0$ , hence  $\text{Tor}_{2n+1}(\bar{R}/\bar{R}\bar{a}, \bar{R}/\bar{R}\bar{a}) \neq 0$  by the chapter 3, exercise 9. Then  $\bar{R}$  has infinite weak dimension.

4. Use the chapter 3, exercise 9.  $\square$



13. Suppose  $P$  is projective and finitely generated in  ${}_R M$ , and suppose  $C \in {}_R M$ . Show that  $P^* \otimes C \rightarrow \text{Hom}(P, C)$  is an isomorphism.

证明. We first prove that  $P^*$  is projective. Let  $R^n$  is the free finitely generated module contains  $P$ , then  $P$  is the direct summand. Then  $\text{Hom}(P, R)$  is the direct summand of  $\text{Hom}(R^n, R) \cong R^n$ , hence projective.

$P^*$  and  $P$  are finitely generated projective. Then for all free resolution of  $C$ :  $\cdots \rightarrow F_1 \rightarrow F_0 \rightarrow C \rightarrow 0$ , we can replace  $F_i$  with  $P^* \otimes F_i$  and  $\text{Hom}(P, F_i)$ . Since for free module  $F$  we have  $P^* \otimes F \cong \text{Hom}(P, F)$ , then by 5-lemma the result is true.  $\square$

14. Suppose  $\text{P-dim } B = N \geq n$ . Show that the  $n$ th kernel of any projective resolution of  $B$  has projective dimension  $N - n$ .

证明. For any projective resolution of  $B$

$$\cdots \rightarrow P_k \rightarrow P_{k-1} \rightarrow \cdots \rightarrow P_0 \rightarrow B \rightarrow 0$$

this induces a projective resolution of  $\text{Im}(P_k \rightarrow P_{k-1})$

$$\cdots \rightarrow P_k \rightarrow \text{Im}(P_k \rightarrow P_{k-1}) \rightarrow 0$$

Then by 4.13,  $\text{P-dim } \text{Im}(P_k \rightarrow P_{k-1}) = N - k$   $\square$

15. Analytical similar objects can be algebraically quite different.

1. Let  $R = C^\infty(\mathbb{R})$ . Let  $M$  be the maximal ideal  $\{f \in R : f(0) = 0\}$ . Show that  $\text{P-dim } R/M = 1$ .
2. Let  $R = C(\mathbb{R})$ . Let  $M$  be the maximal ideal  $\{f \in R : f(0) = 0\}$ . Show that  $\text{P-dim } R/M > 1$ .

证明. 1. We have projective resolution

$$\cdots \rightarrow 0 \rightarrow R \xrightarrow{\times x} R \xrightarrow{f \mapsto f(0)} R/M \rightarrow 0$$

then  $P_k = 0$  when  $k > 1$ , then we can obtain  $\text{P-dim } R/M = 1$  by 4.13.

The resolution is exact since  $f(0) = 0 \Rightarrow f = x(f'(0) + \frac{1}{2}f''(0)x + \cdots)$ .

2. If  $\text{P-dim } R/M \leq 1$ , then  $\text{P-dim } M = 0$ , hence  $M$  is projective, hence for all  $I$  is an ideal of  $R$  we have  $0 = \text{Tor}_1(R/I, M) = \text{Ker}(I \otimes M \rightarrow IM) = 0$ , i.e.  $I \otimes M \cong IM$ . Therefore  $M \otimes M \cong M^2$ . But obviously there exists  $f, g \in M$  such that  $f \neq 0, g \neq 0$  but  $f \otimes g \mapsto fg = 0$ .  $\square$

## 5 Change of Rings

### 5.1 Computational Considerations

**定义 5.1.** A covariant functor  $F : {}_S M \rightarrow {}_R M$  is called "strong additivity", if  $F(\oplus B_i) \cong \oplus F(B_i)$ .

**命题 5.2.** Suppose  $F : {}_S M \rightarrow {}_R M$  is an exact, strongly additive covariant functor. Then for all  $B \in {}_S M$ :

1.  $P - \dim {}_R F(B) \leq P - \dim {}_S B + P - \dim {}_R F(S)$
2.  $F - \dim {}_R F(B) \leq P - \dim {}_S B + F - \dim {}_R F(S)$

证明. If  $B$  is free, then  $B = \oplus S$  and  $P - \dim {}_S B = 0$ , hence  $F(B) = \oplus F(S)$ . Since chapter 4 exercise 11 we have  $P - \dim {}_R \oplus F(S) = P - \dim {}_R F(S)$ , or zero if the index set is empty.

If  $B$  is projective, then  $P - \dim {}_S B = 0$ , and  $B \oplus C = S$  is free. Then we have  $P - \dim {}_R F(B) \leq P - \dim {}_R F(B) \oplus F(C) = P - \dim {}_R F(B \oplus C) \leq P - \dim {}_R F(S)$  by the first case.

If  $P - \dim {}_S B = \infty$ , it's trivial. If  $P - \dim {}_S B = n$ , let  $0 \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow B$  is the projective resolution, then  $0 \rightarrow F(P_n) \rightarrow \cdots \rightarrow F(P_0) \rightarrow F(B) \rightarrow 0$  is exact. Then by 4.11 and the second case, we have  $P - \dim {}_R F(B) = P - \dim {}_R F(P_n) + n \leq P - \dim {}_R F(S) + n$ .  $\square$

**定义 5.3.** If  $B \in {}_R M$ , and  $B'$  is a submodule, define the "Supremal Projective Dimension" of  $(B', B)$  as follows:

$$SP - \dim (B', B) = \sup\{P - \dim C : C \text{ is a submodule of } B, \text{ and } C \supset B'\}$$

Set  $SP - \dim B = SP - \dim (0, B)$ .

**命题 5.4.** If  $LG - \dim R > 0$ , then  $LG - \dim R = 1 + SP - \dim R$ .

**命题 5.5.** Suppose  $B \in {}_R M$ ,  $B'$  is a submodule of  $B$ , and  $B''$  is a submodule is a submodule of  $B'$ . Then

$$SP - \dim (B'', B) = \max\{SP - \dim (B'', B'), SP - \dim (B', B)\}$$

证明. Obviously we have  $SP - \dim (B'', B) \geq \max\{SP - \dim (B'', B'), SP - \dim (B', B)\}$ .

If the inequality is strict, then there exists  $C$  satisfies

$$P - \dim C > \max\{SP - \dim (B'', B'), SP - \dim (B', B)\} \geq \max\{P - \dim C \cap B', P - \dim C + B'\}$$

But this cannot happen, choose  $n$  such that  $P - \dim C \geq n > \max\{P - \dim C \cap B', P - \dim C + B'\}$  and make  $D$  satisfy  $\text{Ext}^n(C, D) \neq 0$ , hence  $\text{Ext}^n(C + B', D) = \text{Ext}^n(C \cap B', D) = 0$ . But chapter 3, exercise 12 gives an exact sequence  $0 = \text{Ext}^n(C + B', D) \rightarrow \text{Ext}^n(C, D) \oplus \text{Ext}^n(B', D) \rightarrow \text{Ext}^n(C \cap B', D) = 0$ , a contradiction.  $\square$

**推论 5.6.** If  $LG - \dim R > 0$ , and  $0 = I_0 \subset I_1 \subset \cdots \subset I_n = R$  is a chain of left ideals in  $R$ , then  $LG - \dim R = 1 + \max\{SP - \dim (I_{j-1}m, I_j)\}$ .

**命题 5.7.** Suppose  $B, C \in {}_R M$ , then

$$SP - \dim (B \oplus C) = \max\{SP - \dim B, SP - \dim C\}$$

证明. We have  $SP - \dim (B \oplus C) = \max\{SP - \dim (B \oplus 0), SP - \dim (B \oplus 0, B \oplus C)\}$ . But any submodule between  $B \oplus 0$  and  $B \oplus C$  corresponds to a submodule of  $C$ , so any module between  $B \oplus 0$  and  $B \oplus C$  has the form  $B \oplus C'$ . Since  $P - \dim (B \oplus C') = \max\{P - \dim B, P - \dim C'\}$ , then  $SP - \dim (B \oplus 0, B \oplus C) = \max\{P - \dim B, SP - \dim C\}$ . Hence  $SP - \dim (B \oplus C) = \max\{SP - \dim B, SP - \dim C\}$ .  $\square$

**推论 5.8.** If  $\text{LG} - \dim R > 0$ , and if  $R = I_1 \oplus \cdots \oplus I_n$  is a direct sum of left ideals, then  $\text{LG} - \dim R = 1 + \text{SP} - \dim R = 1 + \max\{\text{SP} - \dim I_j\}$ .

**命题 5.9.** Suppose  $\phi : R \rightarrow \hat{R}$  is a surjective ring homomorphism, and suppose  $\hat{R}$  is  $R$ -projective. Then  $\text{P} - \dim {}_R \hat{B} = \text{P} - \dim {}_{\hat{R}} \hat{B}$  for all  $\hat{B} \in {}_{\hat{R}} M$ .

**证明.** We can infer  $\text{P} - \dim {}_R \hat{B} \leq \text{P} - \dim {}_{\hat{R}} \hat{B}$  from 5.2, hence all  $\hat{R}$ -projective modules are  $R$ -projective.

Suppose  $\hat{B}$  is  $R$ -projective. Suppose  $\hat{P}$  is a  $\hat{R}$ -projective and there exists a surjective  $\hat{\pi} : \hat{P} \rightarrow \hat{B}$ . As two projective  $R$ -modules,  $\hat{B}$  is the direct summand of  $\hat{P}$ . Thus there exists an  $R$ -module homomorphism  $\hat{\eta} : \hat{B} \rightarrow \hat{P}$  satisfying  $\hat{\pi}\hat{\eta} = i_{\hat{B}}$ .  $\phi$  is surjective gives  $\hat{\eta}$  is an  $\hat{R}$ -module homomorphism, hence  $\hat{B}$  is a direct summand as  $\hat{R}$ -modules. Hence  $\hat{B}$  is  $R$ -projective  $\iff \hat{B}$  is  $\hat{R}$ -projective.

In general, it is easily observed by 4.13 and the previous conclusion.  $\square$